# UNITED STATES DISTRICT COURT SOUTHERN DISTRICT OF NEW YORK

IN RE AEGEAN MARINE PETROLEUM NETWORK, INC. SECURITIES LITIGATION

Case No. 1:18-cv-04993 (NRB)

# DECLARATION OF JACK EWASHKO REGARDING MAILING OF NOTICE AND PUBLICATION OF SUMMARY NOTICE

I, Jack Ewashko, declare as follows:

1. I am a Client Services Director of A.B. Data, Ltd.'s Class Action Administration Company ("A.B. Data"), whose Corporate Office is located in Milwaukee, Wisconsin. Pursuant to the Orders entered by the Court on June 1, 2023 (ECF Nos. 446 & 447) (the "Preliminary Approval Orders"), A.B. Data was authorized to act as the Claims Administrator in connection with the Individual Defendants Settlements of the above-captioned action (the "Action"). I am over 21 years of age and am not a party to the Action. I have personal knowledge of the facts set forth herein and, if called as a witness, could and would testify competently thereto.

#### THE AUDITOR SETTLEMENTS AND ORIGINAL NOTICE PROGRAM

2. Pursuant to orders entered by the Court on June 3, 2022 (ECF Nos. 361 & 362) and September 14, 2022 (ECF Nos. 402 & 404), A.B. Data was authorized to act as the Claims Administrator in connection with settlements reached between Lead Plaintiff and PricewaterhouseCoopers Auditing Company S.A. ("PwC Greece") and Deloitte Certified Public Accountants, S.A. ("Deloitte Greece") (the "Deloitte Greece") (the "Auditor Settlements"). The Court, by orders dated September 14, 2022 (ECF Nos. 402 & 404), finally approved the Auditor

<sup>&</sup>lt;sup>1</sup> All capitalized terms not otherwise defined herein have the same meaning as in the Notice of (I) Pendency of Class Action and Proposed Individual Defendants Settlements; and (II) Final Approval Hearing For The Individual Defendants Settlements, The Individual Defendants Plan of Allocation and Motion For Approval of Attorneys' Fees and Reimbursement of Litigation Expenses (the "Detailed Notice") (ECF No. 438-6).

Settlements and the notice plan designed and implemented by A.B. Data in connection with the same (the "Original Notice Program").

3. As detailed in my previous Declaration (ECF No. 375-6), A.B. Data's efforts in connection with the Court-approved Original Notice Program included mailing a total of 41,879 Auditor Settlements Notice Packets<sup>2</sup> to potential Settlement Class Members of the Auditor Settlements via first class mail. The breakdown of these 41,879 mailings included: (a) 4,099 Auditor Settlements Notice Packets sent to mailing records listed in A.B. Data's proprietary database of names and addresses of the largest and most common banks, brokers and other nominees (the "Nominee List");3 (b) mailing 11,690 Auditor Settlements Notice Packets to the names and addresses of potential class members provided to A.B. Data by Nominees; (c) sending the Auditor Settlements Notice Packet to 257 additional potential Settlement Class members who appeared on Aegean's transfer agent file; and (d) 25,786 mailings to potential Settlement Class members identified by and sent in bulk by Nominees. In addition, A.B. Data caused the securities clearing agency, the Depository Trust Company ("DTC"), to post the Notice Packet on its Electronic Legal Notice System ("LENS").4 A.B. Data also released via PR Newswire and publishing in *Investor's Business Daily* a Summary Notice on June 27, 2022, established a casespecific, toll-free telephone helpline and established a settlement website.

<sup>&</sup>lt;sup>2</sup> The "Auditor Settlements Notice Packet" contained copies of the Notice of (i) Pendency of Class Action and Proposed Partial Settlements; and (ii) Final Approval Hearing For The Partial Settlements, Plans of Allocation, Motion For Approval of Attorneys' Fees and Reimbursement of Litigation Expenses and Application For The Establishment of a Litigation Expense Fund and the Proof of Claim and Release Form.

<sup>&</sup>lt;sup>3</sup> As in most securities class actions, the vast majority of potential Settlement Class Members are beneficial purchasers whose securities are held in "street name"—that is, the securities are purchased by brokerage firms, banks, institutions, and other third-party nominees in each instance in the name of the nominee, on behalf of the beneficial purchaser. Accordingly, A.B. Data maintains a proprietary database with names and mailing addresses and, in some instances, email addresses, of approximately 4,000 banks, brokers and other nominees, known as the "Nominee List." The Nominee List, which A.B. Data updates periodically, also includes institutions that regularly file third-party claims on behalf of their investor clients in securities class actions and all entities that have requested notification in every case involving publicly traded securities.

<sup>&</sup>lt;sup>4</sup> LENS enables DTC member banks and brokers to review the Notice Packet and contact the Claims Administrator directly to obtain copies for their clients who may be Settlement Class Members.

4. Building upon the notice already given in the Original Notice Program, the Individual Defendants Settlements Notice Program provided direct notice of the Individual Defendants Settlements as set forth below.

# MAILING OF THE NOTICE OF INDIVIDUAL DEFENDANTS SETTLEMENTS AND PROOF OF CLAIM

- 5. As set forth in the June 1, 2023 Preliminary Approval Orders, the Settlement Class Members are those who purchased or otherwise acquired Aegean Securities (or sold Aegean put options) between February 27, 2014 through November 5, 2018, inclusive (the "Settlement Class Period"), and were allegedly damaged thereby. Aegean Securities consist of: (a) the common stock of Aegean (Ticker: ANWWQ; CINS: Y0017S102) (pre-bankruptcy Aegean traded under the ticker "ANW"); (b) Aegean 4.00% Convertible Unsecured Senior Notes due 11/1/2018 issued 10/23/2013 (the "4.00% Notes") (CUSIP: Y0020QAA9; ISIN: USY0020QAA95); (c) Aegean 4.25% Convertible Unsecured Senior Notes due 12/15/2021 issued 12/19/2016 (the "4.25% Notes") (CUSIP: 00773VAA4 (CUSIP changed to 00773VAB2 on 2/12/2018); ISIN: US00773VAB27); (d) Aegean call options; and (e) Aegean put options.
- 6. Pursuant to the Preliminary Approval Orders, on June 19, 2023, A.B. Data mailed the Postcard Notice to all individuals, entities, and institutions previously identified as potential members in the Original Notice Program. The Postcard Notice directed recipients to the dedicated toll-free number and the website dedicated to the Individual Defendants Settlements, <a href="https://www.AegeanSecuritiesLitigation.com">www.AegeanSecuritiesLitigation.com</a>, for more detailed information regarding, among other things, the Auditor Settlements, the Individual Defendants Settlements, the right to object to or to be excluded from the Settlement Class, the right to attend and be heard at the final approval hearing, as well as how to obtain copies of the Notice of (I) Pendency of Class Action and Proposed Individual Defendants Settlements; and (II) Final Approval Hearing For The Individual Defendants Settlements, The Individual Defendants Plan of Allocation and Motion For Approval of Attorneys' Fees and Reimbursement of Litigation Expenses (the "Detailed Notice") and Proof of Claim and Release

Form (the "Claim Form") (the Detailed Notice and Postcard Notice are referred to collectively as, the "Individual Defendants Settlements Notice Packet"). A copy of the Postcard Notice is attached hereto as **Exhibit A**.

- 7. Pursuant to the Preliminary Approval Orders, on June 19, 2023, A.B. Data caused the Detailed Notice to be disseminated via electronic mail where viable email addresses were known, or otherwise via first-class mail to the Nominee List. A copy of the Detailed Notice and the accompanying email sent to those on the Nominee List with known email addresses is attached hereto as **Exhibit B**.
- 8. The Detailed Notice directed those brokers and nominees who held any Aegean Securities purchased during the Settlement Class Period as nominee for a beneficial owner that, if in the Original Notice Program they provided A.B. Data with names and addresses of such beneficial owners, they did not need to take any further action. *See* Exhibit B, at 14. The Detailed Notice further directed brokers and nominees that, if in the Original Notice Program they elected to obtain bulk copies of the settlement notice from A.B. Data to provide to such beneficial owners themselves, they must provide the Postcard Notice to all such clients within seven (7) days of receipt from A.B. Data. *Id.* If brokers and nominees did not have any potential members of the Auditor Settlement Classes among their clients, the Detailed Notice directed those brokers nominees to determine whether they have since acquired any new clients who may be potential members of the Settlement Class and, either provide the names and addresses of such clients to A.B. Data, or request bulk copies of the Postcard Notice. *Id.*
- 9. In conjunction with mailing of Postcard Notices and the Detailed Notice as set forth in paragraphs 3 through 6 above, on June 19, 2023, A.B. Data also caused the DTC to post the Notice Packet on LENS.
- 10. As of September 14, 2023, A.B. Data had received an additional 8,738 names and addresses of potential Settlement Class Members from individuals or brokerage firms and other nominee holders. A.B. Data has also received requests from brokers and other nominee holders

for 841 Detailed Notices to be forwarded by the nominees to their customers. All such requests have been, and will continue to be, complied with and addressed in a timely manner.

11. As of September 14, 2023, a total of 40,676 Postcard Notices and Detailed Notices have been mailed to potential Settlement Class Members and their nominees. In addition, A.B. Data has re-mailed 115 Postcard Notices to persons whose original mailings were returned by the U.S. Postal Service ("USPS") and for whom updated addresses were provided to A.B. Data by the USPS.

#### **PUBLICATION OF THE SUMMARY NOTICE**

12. Pursuant to the Preliminary Approval Orders, A.B. Data caused the Summary Notice to be published in *Investor's Business Daily* and released via *PR Newswire* on June 19, 2023. Copies of proof of publication of the Summary Notice in *Investor's Business Daily* and over *PR Newswire* are attached hereto as **Exhibits C and D**, respectively.

## TELEPHONE HELP LINE

13. A.B. Data has continued to maintain a case-specific, toll-free telephone helpline, 1-877-888-9760, with an interactive voice response system and live operators, to accommodate potential Settlement Class Members with questions about the Action and the Individual Defendants Settlements. The automated attendant answers the calls and presents callers with a series of choices to respond to basic questions. Callers requiring further help have had the option to be transferred to a live operator during business hours.

#### **SETTLEMENT WEBSITE**

14. A.B. Data also continued to maintain the settlement website for this Action, <a href="https://www.AegeanSecuritiesLitigation.com">www.AegeanSecuritiesLitigation.com</a>, which was revised to be dedicated to the Individual Defendants Settlements, and prominently displayed in the Postcard Notice, Detailed Notice and Summary Notice, while also providing: (a) the deadline and procedure for excluding oneself from the Settlement Class for the purposes of either or both of the Individual Defendants Settlements while noting that it is too late to opt out of the Settlement Class for the purpose of the Auditor Settlements; (b) the deadline and procedure for objecting to the Individual Defendants Settlements,

the proposed plan of allocation and/or the request for award of attorneys' fees and expenses; (c) the claims submission deadline; (d) the date and other particulars concerning the hearing for final approval of the Individual Defendants Settlements and other matters requiring the Court's approval; and (e) other relevant and helpful information about the Individual Defendants Settlements. The settlement website was operational beginning on June 24, 2022, and was revised to prominently display information specific to the Individual Defendants Settlements on June 19, 2023. It is accessible 24 hours a day, 7 days a week.

#### **REPORT ON EXCLUSIONS**

- Notice, those Settlement Class Members requesting exclusion were to provide a signed letter requesting exclusion ("Request for Exclusion") postmarked no later than September 28, 2023. Settlement Class Members requesting exclusions were also directed to provide the following information: (a) the name, (b) address; (c) telephone number; (d) identity and original face value of any Aegean Securities purchased (or otherwise acquired) or sold; (e) prices or other consideration paid or received for such Aegean Securities during the Settlement Class Period; (f) the date of each purchase or sale transaction; and (g) a statement that the Person or entity wishes to be excluded from the Settlement Class for the purpose of the Individual Defendants Settlements.
- 16. As of the date of this Declaration, A.B. Data has received one (1) request for exclusion. A summary sheet detailing the exclusion is attached hereto as **Exhibit E**.

#### **REPORT ON OBJECTIONS**

17. Pursuant to ¶22 of the Preliminary Approval Orders and pages 11 through 12 of the Detailed Notice, those members of the Settlement Class who wish to object to either both of the Individual Defendants Settlements or any part of them, the Individual Defendants Plan of Allocation, and/or Lead Counsel's application for attorneys' fees and reimbursement of Litigation Expenses were to file such objection with the Court and serve on Lead Counsel and Defendants' counsel no later than September 28, 2023.

18. As of the date of this Declaration, A.B. Data has not received any objections to the Settlement and knows of no other objections sent to Lead Counsel and/or counsel for Defendants.

I declare under penalty of perjury under the laws of the United States of America that the foregoing is true and correct.

Executed this 14th day of September 2023.

Jack Ewashko

# EXHIBIT A

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Lead Plaintiff in In re Aegean Marine Petroleum Network, Inc. Securities Litigation, Case No. 1:18cv-04993 (S.D.N.Y.) has reached two proposed settlements totaling \$11,949,999 (the "Individual Defendants Settlements") with the two remaining defendants in this action: \$11,000,000 with Spyros Gianniotis ("Gianniotis"), the former Chief Financial Officer of Aegean Marine Petroleum Network, Inc. ("Aegean"), and \$949,999 with Dimitris Melissanidis ("Melissanidis"), the founder of Aegean (together, the "Individual Defendants"). Lead Plaintiff previously reached settlements with Aegean's outside auditors for a total of \$29.8 million (the "Auditor Settlements"). This class action is brought on behalf of purchasers of Aegean Securities alleging fraud concerning Aegean's financial statements and value as well as insider trading. The Settling Defendants each deny all claims alleged against them and maintain they did nothing wrong.

P.O. Box 173088
Milwankee. WI 53217

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You may be a Member of the Settlement Class if you purchased or otherwise acquired Aegean Securities (or sold Aegean put options) between February 27, 2014 through November 5, 2018, inclusive (the "Settlement Class Period"), and were allegedly damaged thereby. The cost to administer the Individual Defendants Settlements, expert costs and, if awarded, attorney fees and expenses will be paid out of the \$11,949,999 Individual Defendants Settlement Funds. More details, including the categories of Persons excluded from the Settlement Class, and the Gianniotis Stipulation and/or the Melissanidis Stipulation, are available at www.aegeansecuritieslitigation.com (the "Settlement Website").

#### What Are My Options?

FILE A CLAIM. Any claims already submitted in the Auditor Settlements will be automatically considered for recovery in the Individual Defendants Settlements and do NOT need to be re-submitted. New Claim Forms in the Individual Defendants Settlements can be filed electronically on the Settlement Website or may be downloaded and mailed to the Claims Administrator. Claim Forms must be postmarked (if mailed) or submitted online by September 20, 2023.

**EXCLUDE YOURSELF.** If you wish to exclude yourself from the Individual Defendants Settlements, you must do so in writing to the Claims Administrator by **September 28, 2023.** 

**OBJECT.** If you wish to object to the terms of the Individual Defendants Settlements, you must file and serve a written objection postmarked by mail no later than **September 28, 2023**.

**DO NOTHING.** If you did not previously submit a claim and you do nothing now, you will both forfeit your right to receive a monetary benefit from the Individual Defendants Settlements, give up your right to assert claims against these Individual Defendants.

ATTEND A HEARING. The Court will hold a hearing on October 19, 2023 at 11:00 a.m., to consider, among other things, whether to approve the Individual Defendants Settlements and a request by the lawyers representing the Class for up to 25% of the aggregate Individual Defendants Settlement Funds in attorneys' fees plus reimbursement of Litigation Expenses. You may attend the hearing and ask to be heard by the Court, but you do not have to.

This is only a summary of the full notice (the "Detailed Notice"), which contains more detailed information. The Detailed Notice provides instructions on how to submit a Claim Form, request exclusion and object, all of which you must comply with. For more information, or to obtain a copy of the Detailed Notice, visit www.aegeansecuritieslitigation.com, or call 1-877-888-9760.

# EXHIBIT B

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United States District Court For The Southern District of New York

In re Aegean Marine Petroleum Network, Inc. Securities Litigation Case No. 1:18-CV-04993 (NRB) Hon. Naomi Reice Buchwald

Notice of (I) Pendency of Class Action and Proposed Individual Defendants Settlements; and (II) Final Approval Hearing For The Individual Defendants Settlements, The Individual Defendants Plan of Allocation and Motion For Approval of Attorneys' Fees and Reimbursement of Litigation Expenses

If You Purchased Aegean Marine Petroleum Network, Inc. Securities During The Period Beginning February 27, 2014 Through November 5, 2018, Your Rights May Be Impacted By Two Additional Individual Defendants Settlements In this Action And You May Be Entitled To Payment From These Two Additional Settlements Totaling \$11,949,999.

A Federal Court authorized this Detailed Notice. This is not a solicitation from a lawyer.

**Notice of Pendency of Class Action**: Please be advised that your rights may be affected by the above-captioned securities class action lawsuit (the "Action"), pending in the United States District Court for the Southern District of New York (the "Court"), if you purchased or otherwise acquired Aegean Marine Petroleum Network, Inc. ("Aegean" or the "Company") securities between February 27, 2014 through November 5, 2018, inclusive (the "Settlement Class Period"), and were allegedly damaged thereby.<sup>1</sup>

**Notice of Individual Defendants Settlements**: Please also be advised that Court-appointed Lead Plaintiff, Utah Retirement Systems ("URS" or "Lead Plaintiff"), on behalf of itself and the Settlement Class (defined in Question 6 below), has reached two proposed settlements for \$11,949,999 (the "Individual Defendants Settlements") of the Action with the remaining Defendants in this Action, Spyros Gianniotis ("Gianniotis") (the "Gianniotis Settlement") (for \$11,000,000) and Dimitris Melissanidis ("Melissanidis" or the "Melissanidis Settlement") (for \$949,999). These Individual Defendants Settlements are subject to Court approval.

Lead Plaintiff previously reached settlements with Deloitte Certified Public Accountants, S.A. ("Deloitte Greece") and PricewaterhouseCoopers Auditing Company S.A. ("PwC Greece") for \$14.9 million each, which settlements were approved by the Court on September 14, 2022 (the "Auditor Settlements") (see Question 2).

If you did not submit a Claim Form (defined below) in the Auditor Settlements, you may submit a Claim Form now to participate in the Individual Defendants Settlements. Claim Forms submitted for the Auditor Settlements will automatically be considered for recovery in the Individual Defendants Settlements, unless you request exclusion from the Individual Defendants Settlements, and should NOT be re-submitted for the Individual Defendants Settlements. Recovery for new Claim Forms submitted in the Individual Defendants Settlements will also be considered for recovery in the Auditor Settlements.

**Description of the Securities Subject to the Individual Defendants Settlements**: The securities subject to the Individual Defendants Settlements consist of: (a) the common stock of Aegean (Ticker: ANWWQ; CINS: Y0017S102) (prebankruptcy Aegean traded under the ticker "ANW"); (b) Aegean 4.00% Convertible Unsecured Senior Notes due 11/1/2018 issued 10/23/2013 (the "4.00% Notes") (CUSIP: Y0020QAA9; ISIN: USY0020QAA95); (c) Aegean 4.25% Convertible Unsecured Senior Notes due 12/15/2021 issued 12/19/2016 (the "4.25% Notes") (CUSIP: 00773VAA4 (CUSIP changed to 00773VAB2 on 2/12/2018); ISIN: US00773VAB27); (d) Aegean call options; and (e) Aegean put options (collectively referred to as the "Aegean Securities").

Estimate of Average Amount of Recovery: Based on its damages consultant's estimate of the number of Aegean common stock shares purchased or otherwise acquired during the Settlement Class Period and, assuming that all Settlement Class

<sup>&</sup>lt;sup>1</sup> All capitalized terms that are not defined herein shall have the meaning ascribed to them in, as applicable, the Stipulation and Agreement of Settlement with Spyros Gianniotis, dated April 21, 2023 (the "Gianniotis Stipulation") and the Stipulation and Agreement of Settlement with Dimitris Melissanidis, dated April 21, 2023 (the "Melissanidis Stipulation") (collectively, the "Individual Defendants Stipulations"). Gianniotis and Melissanidis are together referred to as the "Individual Defendants."

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Members elect to participate in the Individual Defendants Settlements, Lead Plaintiff estimates that the average recovery (before the deduction of any Court-approved fees, expenses and costs described herein) is approximately \$0.15 per affected common share. Collectively, with the Auditor Settlements, Lead Plaintiff estimates that the average recovery on a per share basis (before the deduction of any Court-approved fees, expenses and costs described herein) is approximately \$0.54 per affected common stock. Lead Plaintiff, on behalf of itself and the Settlement Class, and the Individual Defendants (together, the "Settling Parties") do not agree on the amount of recoverable damages or on the average amount of damages per share or the amount that would be recoverable if Lead Plaintiff were to prevail on each of its claims. Among other things, the Individual Defendants deny that they violated the federal securities laws or that any damages were incurred by any Settlement Class Member as a result of their alleged conduct.

PLEASE READ THIS DETAILED NOTICE CAREFULLY. This Detailed Notice explains important rights you may have, including the possible receipt of a payment from the Individual Defendants Settlements. Your legal rights may be affected even if you do nothing.

Your Legal Rights And Options With Respect To The Individual Defendants Settlements			
Submit a Claim Form Received or Postmarked by September 20, 2023	If you did not submit a Claim Form for the Auditor Settlements, this is the only way to get a payment. <i>See</i> Questions 10 and 12. If you already submitted a Claim Form for the Auditor Settlements, please do not submit another Claim Form.		
Ask To Be Excluded by Submitting a Written Exclusion Postmarked by September 28, 2023.	You will receive no payment from these Individual Defendants Settlements. This is the only option that allows you to ever be part of any other lawsuit against the Individual Defendants or the other relevant Individual Defendants' released parties (as defined in Question 11 below) concerning the claims that were or could have been asserted in this Action. <i>See</i> Question 13. Note: Requests for exclusion previously submitted for the Auditor Settlements will be automatically considered to be requests for exclusion to the Individual Defendants Settlements unless a Claim Form is submitted for the Individual Defendants Settlements.		
Object by Filing and Submitting Written Objections Postmarked by September 28, 2023.	If you wish to object to the Individual Defendants Settlements, or anything else referenced in this Detailed Notice, you must file and submit a written objection. <i>See</i> Questions 16 and 17.		
Participate in a Final Approval Hearing (which may be held in person, telephonically, by video or as otherwise ordered by the Court)	You may also request to be heard at the Final Approval Hearing, which may be held in person or virtually. <i>See</i> Questions 18-20.		

Your Legal Rights And Options With Respect To The Individual Defendants Settlements				
Do Nothing	If you submitted a Claim Form for the Auditor Settlements, it will automatically be considered for recovery in the Individual Defendants Settlements, unless you file a request for exclusion to the Individual Defendants Settlements. However, if you did not submit a timely Claim Form in the Auditor Settlements and you do nothing in response to this Detailed Notice, (i) you will not be eligible to receive any payment from the Gianniotis Settlement Fund (defined below) or the Melissanidis Settlement Fund (defined below); (ii) you will, however, remain a member of the Settlement Class, which means you give up your right to sue about the claims that are resolved by the Individual Defendants Settlements (as well as the Auditor Settlements); and (iii) you will be bound by any judgments or orders entered by the Court in the Action against Gianniotis and Melissanidis (as well as the Auditor Defendants). <i>See</i> Question 21.			

# WHAT THIS DETAILED NOTICE CONTAINS

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#### **BASIC INFORMATION**

# 1. Why did I get this Detailed Notice?

The Court has authorized this Detailed Notice because, as a potential Settlement Class Member, you have a right to know about your options before the Court rules on the proposed Individual Defendants Settlements in this Action. The issuance of this Detailed Notice is not an expression of any opinion by the Court concerning the merits of any claim against the Individual Defendants in the Action, and the Court still has to decide whether to approve the Individual Defendants Settlements.

Judge Naomi Reice Buchwald of the United States District Court for the Southern District of New York is overseeing this Action, which is captioned *In re Aegean Marine Petroleum Network, Inc. Securities Litigation*, No. 1:18-cv-04993 (NRB).

## 2. What is this case about? What has happened so far?

Aegean was an international marine fuel logistics company founded in 1995 by Defendant Dimitris Melissanidis. The Company held its initial public offering in December 2006 and, until its bankruptcy filing in the fall of 2018, its common stock traded on the New York Stock Exchange.

On November 2, 2018, following an internal investigation by outside counsel and retained forensic accountants, the Company announced that a newly-formed Audit Committee had determined that: (a) the Company's financial results were manipulated by improperly booking approximately \$200 million in accounts receivables from bogus transactions with four shell companies controlled by former employees or affiliates of the Company; (b) approximately \$300 million in cash and assets had been misappropriated by former affiliates, including through a 2010 contract with OilTank Engineering & Consulting Ltd.; (c) Aegean's management perpetrated and concealed the alleged financial fraud through various means including the falsification and forging of records such as bank statements, audit confirmations, contracts, invoices and third party certifications; (d) the revenues and earnings of the Company were substantially overstated in the years 2015, 2016 and 2017 and that both year-end and interim financials for these periods should no longer be relied upon and would need to be restated; (e) there were material weaknesses in the Company's internal controls over financial reporting ("ICFR") as of December 31, 2015, 2016 and 2017 and, as such, management's annual report on ICFR as of December 31, 2015, and 2016 included in the Company's Annual Reports on Form 20-F filed with the U.S. Securities and Exchange Commission ("SEC"),

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and also for the 2017 interim results, should no longer be relied upon and would need to be restated; (f) insiders had engaged in additional actions to defraud the Company, including engaging in prepayments for future oil deliveries which were never made; and (g) the U.S. Department of Justice had issued a grand jury subpoena in connection with suspected felonies. Then, on November 6, 2018, Aegean commenced bankruptcy proceedings under Chapter 11 of the U.S. Bankruptcy Code in the Southern District of New York, Case No. 18-13374 (MEW).

On February 1, 2019, Lead Plaintiff filed its Consolidated Class Action Complaint (the "Complaint") alleging that certain officers and directors of Aegean (including Gianniotis and Melissanidis), PwC Greece, PricewaterhouseCoopers International Limited ("PwCIL"), PricewaterhouseCoopers LLP ("PwC US"), Deloitte Greece, Deloitte Touche Tohmatsu Limited ("DTTL") and Deloitte & Touche LLP ("Deloitte US") violated the federal securities laws. Among other things, Lead Plaintiff alleged that certain of the defendants engaged in a long-running, multi-faceted fraudulent scheme through which they (a) significantly overstated the Company's income and revenue; (b) overstated the Company's assets and the strength of its balance sheet; (c) misled investors concerning the adequacy of the Company's ICFR; and/or (d) misappropriated Company assets. Lead Plaintiff further alleges that, as a result, Defendants were liable for false and misleading statements to the investors during the Settlement Class Period. In particular, Lead Plaintiff alleges that Gianniotis, who served as Aegean's Chief Financial Officer since 2008, made false and misleading statements in press releases, at quarterly conference calls, in signed registration statements for the October 2013 and January 2015 public offerings, and in the Company's certified Form 20-Fs, filed with the SEC. Lead Plaintiff further alleges that Melissanidis profited from the alleged fraud and engaged in insider trading when Aegean repurchased 11,303,031 common shares that he beneficially owned, representing approximately 22% of common shares then outstanding, for proceeds of nearly \$100 million in 2016.

On March 29, 2021, the Court issued an order deciding the motions to dismiss filed by the Defendants to end the case. The Court (i) denied the motion by Gianniotis; (ii) denied PwC Greece and Deloitte Greece's joint motion to dismiss; and (iii) denied Melissanidis's motion to dismiss the insider trading claims, but granted his motion to dismiss the remaining claims asserted against him. The Court granted motions to dismiss filed by the other Defendants. The parties have since engaged in extensive document discovery. Lead Plaintiff filed a motion asking the Court to certify a class.

Earlier, two settlements with PwC Greece and Deloitte Greece were reached (the "Auditor Settlements"). The Court granted final approval of the Auditor Settlements on September 14, 2023. THE TIME TO OBJECT TO OR FILE REQUESTS FOR EXCLUSION FROM THE AUDITOR SETTLEMENTS HAS EXPIRED.

On October 25, 2022, at the end of a second mediation, Lead Counsel and Gianniotis's Counsel reached an agreement in principle to settle all claims against Gianniotis. On March 22, 2023, following numerous rounds of negotiations with the Honorable Stewart Aaron, Lead Counsel and Melissanidis's Counsel also reached an agreement in principle to settle all claims against Melissanidis.

#### 3. What is a class action?

A class action is a type of lawsuit in which the claims of a number of individuals are resolved together, thus providing the class members with both consistency and efficiency. In a class action lawsuit, the Court selects one or more people, known as class representative(s) or lead plaintiff(s), to sue on behalf of all people with similar claims, commonly known as the class or the class members. Once the class is certified, the Court must resolve all issues on behalf of the class members, except for any Persons who choose to exclude themselves from the class. (For more information on excluding yourself from the Settlement Class, *see* Question 13.) In the Action, the Court has directed that Lead Plaintiff and Lead Counsel have primary responsibility for prosecuting all claims against Defendants on behalf of investors in the Aegean Securities described above during the Settlement Class Period.

#### 4. Why are There Individual Defendants Settlements?

Lead Plaintiff and Lead Counsel believe that the claims asserted against the Individual Defendants have merit. Lead Plaintiff and Lead Counsel recognize, however, the risks and challenges to establishing liability and collecting a judgment against the Individual Defendants, particularly since they are two foreign nationals living in Greece. These risks are outlined in

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Lead Plaintiff's Motion for Preliminary Approval of the Individual Defendants Settlements located at www.aegeansecuritieslitigation.com.

In light of the risks of collecting any sums after a trial as compared to the amount certain provided to the Settlement Class by way of the total payment of \$11,949,999 (the "Individual Defendants Settlement Amount") agreed to in the Individual Defendants Settlements, Lead Plaintiff and Lead Counsel believe that the proposed Individual Defendants Settlements are fair, reasonable and adequate, and in the best interests of the Settlement Class. Lead Plaintiff and Lead Counsel believe that the Individual Defendants Settlements provide a substantial benefit now, namely the payment of \$11,949,999 (\$11,000,000 from Gianniotis and \$949,999 from Melissanidis), as compared to the risk that the claims asserted in the Complaint would produce a similar, smaller or no recovery after summary judgment, trial and appeals and collection efforts, possibly years in the future.

The Individual Defendants have denied and continue to deny each of the claims alleged by Lead Plaintiff in the Action. Each of the Individual Defendants has expressly denied and continues to deny all charges of wrongdoing or liability against him arising out of any of the conduct, statements, acts or omissions alleged, or that could have been alleged, in the Action. The Individual Defendants also have also denied and continue to deny, among other things, the allegations that Lead Plaintiff or the Settlement Class have suffered any damage or that Lead Plaintiff or the Settlement Class were harmed by the conduct alleged in the Action. Gianniotis and Melissanidis have asserted, and continue to assert, that their conduct was at all times proper and in compliance with all applicable provisions of law and believe that the evidence supports their position that they acted properly at all times and that the Action is without merit. Nevertheless, the Individual Defendants have taken the uncertainty and risks inherent in any litigation into account, especially in a complex case such as this. The Individual Defendants have concluded that further conduct of the Action would be protracted and expensive and that it is desirable that the Action be fully and finally settled against them in the manner and upon the terms and conditions set forth in the Individual Defendants Stipulations.

The proposed Individual Defendants Settlements represent a compromise of disputed claims and do not mean that the Individual Defendants have been found liable for any claims asserted by Lead Plaintiff.

#### 5. What might happen if there were no Individual Defendants Settlements?

If there were no Individual Defendants Settlements and Lead Plaintiff failed to establish any essential legal or factual element of its claims against the Individual Defendants, neither Lead Plaintiff nor the Settlement Class would recover anything from the Individual Defendants. Also, if the Individual Defendants were successful in proving any of their defenses, the Settlement Class could recover substantially less than the amount provided in the Individual Defendants Settlements, or nothing at all. Moreover, there is also a risk to collecting upon judgment against the Individual Defendants, who are Greek residents.

#### WHO IS INCLUDED IN THE INDIVIDUAL DEFENDANTS SETTLEMENTS?

# 6. How do I know if I am affected by the Individual Defendants Settlements?

For the purposes of the Individual Defendants Settlements, with the few exceptions listed in Question 7 below, everyone who fits the following description is a Settlement Class Member (the "Settlement Class"): All Persons who purchased or otherwise acquired Aegean Securities or sold Aegean put options between February 27, 2014 through November 5, 2018, inclusive (the "Settlement Class Period"), and were allegedly damaged thereby. For the purposes of the Individual Defendants Settlements, a "Person" means an individual, corporation, partnership, limited partnership, limited liability partnership, marital community, association, joint stock company, joint venture and joint venturer, estate, legal representative, trust, unincorporated association, government or any political subdivision or agency thereof, and any other business or legal entity.

All Settlement Class Members are entitled to share in the Gianniotis Net Settlement Fund created pursuant to the Gianniotis Settlement and the Melissanidis Net Settlement Fund created pursuant to the Melissanidis Settlement. The "Gianniotis Net Settlement Fund" and the "Melissanidis Net Settlement Fund" are the Settlement Amounts paid by each of the Individual Defendants plus any and all interest earned thereon (respectively, the "Gianniotis Settlement Fund" and the "Melissanidis

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Settlement Fund") (together, the "Individual Defendants Settlement Funds") less (a) any Taxes ("Tax" or "Taxes" means any and all taxes, fees, levies, duties, tariffs, imposts and other charges of any kind (together with any and all interest, penalties, additions to tax and additional amounts imposed with respect thereto); (b) any Notice and Administration Costs ("Notice and Administration Costs" means the costs, fees and expenses that are incurred by the Claims Administrator in connection with (i) providing notice to the Settlement Class; and (ii) administering the Individual Defendants Settlements claims process); (c) any Litigation Expenses ("Litigation Expenses" refers to the reasonable costs and expenses incurred in connection with commencing, prosecuting and settling the Action, which may include the costs and expenses of Lead Plaintiff directly related to its representation of the Settlement Class) awarded by the Court; (d) any attorneys' fees plus interest awarded by the Court; (e) any other costs expenses or amounts as may be approved by the Court.

RECEIPT OF NOTICE DOES NOT NECESSARILY MEAN THAT YOU ARE A SETTLEMENT CLASS MEMBER OR THAT YOU ARE ENTITLED TO RECEIVE PROCEEDS FROM THE INDIVIDUAL DEFENDANTS SETTLEMENTS.

## 7. Are there any exceptions to being included as a Settlement Class Member?

Yes. Excluded from the Settlement Class are: (a) Defendants and any affiliates or subsidiaries of Defendants; (b) Persons who have been dismissed from this Action ("Dismissed Defendants") and their affiliates or subsidiaries; (c) present or former officers, directors, partners or controlling Persons as of April 30, 2018 of Aegean, its subsidiaries or its affiliates, any Defendant or any Dismissed Defendant, and their immediate family members; (d) the directors' and officers' liability carriers and any affiliates or subsidiaries thereof of any Defendant, Dismissed Defendant or Aegean; (e) any entity in which any Defendant, Dismissed Defendant or Aegean has or has had a controlling interest; and (f) the legal representatives, heirs, estates, agents, successors or assigns of any Person or entity described in the preceding categories. Also excluded from the Settlement Class is any Settlement Class Member that validly and timely requests exclusion to the Individual Defendants Settlements as approved by the Court.

#### 8. I am still not sure if I'm included.

If you are still not sure whether you are included, you can ask for free help. You can contact the Claims Administrator (who was selected by Lead Counsel and approved by the Court to provide all notices approved by the Court to potential Settlement Class Members) at 1-877-888-9760 (Toll Free) or you can fill out the Proof of Claim and Release form (the "Claim Form" is used for submitting a claim for the Individual Defendants Settlements, which, subject to approval of the Court, shall be substantially in the form attached as Exhibit A-2 to both the Gianniotis Stipulation and the Melissanidis Stipulation), described in response to Question 12 below, to see if you qualify. You can also contact Lead Counsel at the addresses and phone numbers listed in Question 23 below. Please do not contact the Court.

#### THE INDIVIDUAL DEFENDANTS SETTLEMENTS BENEFITS

#### 9. What do the Individual Defendants Settlements provide?

Individual Defendants have paid or will pay a total of \$11,949,999 into an escrow account (\$11,000,000 from Gianniotis and \$949,999 from Melissanidis). The \$11,949,999 from the Individual Defendants will earn interest, as provided for in the Individual Defendants Stipulations, for the benefit of all Settlement Class Members as provided herein. After deduction of (i) Taxes imposed by any governmental authority, including, but not limited to, any local, state and federal taxes); (ii) Notice and Administration Costs; (iii) Litigation Expenses awarded by the Court; (iv) attorneys' fees plus interest awarded by the Court; and (v) any other costs, Litigation Expenses or amounts as may be approved by the Court, the balance of the escrow account (the Gianniotis Net Settlement Fund and the Melissanidis Net Settlement Fund) will be distributed to Settlement Class Members in accordance with the Individual Defendants Plan of Allocation, as applicable, discussed in Question 10.

In exchange for the Individual Defendants' payments, the claims described in response to Question 11 below will be released, relinquished, discharged and dismissed with prejudice.

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Details regarding these Individual Defendants Settlements, as well as the Auditor Settlements are available at <a href="https://www.aegeansecuritieslitigation.com">www.aegeansecuritieslitigation.com</a>.

## 10. How much will my payment be? When will I receive it?

At this time, it is not possible to make any determination as to how much any individual Settlement Class Member may receive from the Individual Defendants Settlements. Your share of the Gianniotis Net Settlement Fund and/or the Melissanidis Net Settlement Fund will depend on the number of valid and timely Claim Forms that Settlement Class Members send in, how many units of Aegean common stock, debt-securities (notes) and/or options you bought and sold, and when you bought and sold them.

You should look at the Individual Defendants Plan of Allocation for a description of the calculations to be made by the Claims Administrator in computing the amounts to be paid to the "Authorized Claimants," that is, those investors who submit valid and timely Claim Forms establishing that they are Settlement Class Members. *See* www.aegeansecuritieslitigation.com.

The Individual Defendants Plan of Allocation will be submitted for the Court's approval; however, such approval shall in no way disturb or affect the Court's approval of the Individual Defendants Stipulations and shall be considered separate from the Court's "Order and Final Judgment Regarding Gianniotis" (defined in the Gianniotis Stipulation) and the Court's "Order and Final Judgment Regarding Melissanidis" (defined in the Melissanidis Stipulation) discussed in response to Question 11 below.

The objective of the Individual Defendants Plan of Allocation is to equitably distribute the Gianniotis Net Settlement Fund and/or the Melissanidis Net Settlement Fund to those Settlement Class Members who allegedly suffered economic losses as a result of the alleged wrongdoing against each of the Individual Defendants. Payment pursuant to the Individual Defendants Plan of Allocation will be conclusive against Authorized Claimants. No Person will have any claim against Lead Plaintiff, Lead Counsel, any other Plaintiff and Plaintiff's Counsel in the Action, the Individual Defendants, Gianniotis's Counsel, Melissanidis's Counsel, the other relevant Individual Defendants' released parties (as defined in Question 11 below) or their counsel, or the Claims Administrator or other agents designated by Lead Counsel, arising from distributions made substantially in accordance with the Individual Defendants Stipulations, the Individual Defendants Plan of Allocation or further orders of the Court. Gianniotis, Melissanidis, Gianniotis's Counsel, Melissanidis's Counsel, the other relevant Individual Defendants' released parties and their counsel will have no responsibility or liability whatsoever for the investment or distribution of the Gianniotis Settlement Fund and/or the Melissanidis Settlement Fund, the Individual Defendants Plan of Allocation or the determination, administration, calculation or payment of any Claim Form or nonperformance of the Claims Administrator, the payment or withholding of Taxes owed by the Gianniotis Settlement Fund and/or the Melissanidis Settlement Fund or any losses incurred in connection therewith. Lead Plaintiff, the Escrow Agent and the Claims Administrator likewise will have no liability for their reasonable efforts to execute, administer and distribute the Individual Defendants Settlements.

Each Settlement Class Member will be deemed to have submitted to the jurisdiction of the United States District Court for the Southern District of New York with respect to his, her or its Claim Form. Persons and entities that exclude themselves from the Settlement Class will not be eligible to receive a distribution from the Gianniotis Net Settlement Fund and/or the Melissanidis Net Settlement Fund and should not submit Claim Forms.

The Court has reserved jurisdiction to allow, disallow or adjust on equitable grounds the claim of any member of the Settlement Class.

Each of the Individual Defendants Settlements are conditioned on two main events: (a) the entry of Judgment by the Court, after the Court holds a hearing to decide whether to approve the specific the Individual Defendants Settlements, as provided for in the Gianniotis Stipulation and/or in the Melissanidis Stipulation ("Final Approval Hearing"); and (b) the expiration of the applicable period to file all appeals from the Orders and Final Judgments Regarding Gianniotis and/or Melissanidis. If either or both of the Individual Defendants Settlements are approved, it is possible there may be an appeal by someone. There is always uncertainty as to how these appeals will be resolved, and resolving them can take time, perhaps more than a year. Also, if certain conditions of the Gianniotis Settlement or the Melissanidis Settlement, as described in the Individual

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Defendants Stipulations, are not met, said Individual Defendants Settlements might be terminated and become null and void. In addition, the Claims Administrator will need time to process all of the timely claims before any distribution can be made.

Claim Forms previously submitted in the Auditor Settlements will automatically be considered for recovery in the Individual Defendants Settlements and should not be re-submitted in the Individual Defendants Settlements. Recovery for new Claim Forms submitted in the Individual Defendants Settlements will likewise be considered for recovery in the Gianniotis and Melissanidis Net Settlement Funds as well as the Net Settlement Funds for the Auditor Settlements.

#### 11. What am I giving up to get a payment or stay in the Settlement Class?

If either or both Individual Defendants Settlements are approved, the Court will enter an order and final judgment with prejudice for each, among other things, dismissing the claims against the Individual Defendants.

The Order and Final Judgment Regarding Gianniotis will dismiss the claims against Gianniotis with prejudice and will provide that Lead Plaintiff and all other Settlement Class Members will be deemed to have—and by operation of the Order and Final Judgment Regarding Gianniotis will have—released, relinquished, dismissed and forever discharged the Gianniotis Released Claims, including Unknown Claims, against each and all of the Gianniotis Released Parties. The terms "Gianniotis Released Claims," "Unknown Claims," "Gianniotis Released Party" and "Gianniotis Released Parties" are defined in the Gianniotis Stipulation, which is uploaded to www.aegeansecuritieslitigation.com.

The Order and Final Judgment Regarding Melissanidis will dismiss the claims against Melissanidis with prejudice and will provide that Lead Plaintiff and all other Settlement Class Members will be deemed to have—and by operation of the Order and Final Judgment Regarding Melissanidis will have—released, relinquished, dismissed and forever discharged the Melissanidis Released Claims, including Unknown Claims, against each and all of the Melissanidis Released Parties. The terms "Melissanidis Released Claims," "Unknown Claims," "Melissanidis Released Party" and "Melissanidis Released Parties" are defined in the Melissanidis Stipulation, which is uploaded to <a href="https://www.aegeansecuritieslitigation.com">www.aegeansecuritieslitigation.com</a>.

The Gianniotis Stipulation and the Melissanidis Stipulation, available at <a href="www.aegeansecuritieslitigation.com">www.aegeansecuritieslitigation.com</a>, describe the released claims and released Persons in detail. Please read the Gianniotis Stipulation and the Melissanidis Stipulation carefully. If you have any questions, you can talk to the law firm listed in Question 23 at no cost to you.

#### 12. How do I participate in the Individual Defendants Settlements? What do I need to do?

If you purchased or otherwise acquired the Aegean Securities described above, are not excluded by the definition of the Settlement Class and do not elect to exclude yourself from the Settlement Class, then you are a Settlement Class Member. As such, you will be bound by the proposed Individual Defendants Settlements if the Court approves either or both of them, and by any judgment or determination of the Court affecting the Settlement Class.

To qualify for payment from the Gianniotis Net Settlement Fund and/or the Melissanidis Net Settlement Fund, you must have recognized loses under the Individual Defendants Plan of Allocation and you must timely send in a Claim Form to the Claims Administrator. Claim Forms submitted for the Auditor Settlements will automatically be considered for recovery in the Individual Defendants Settlements, unless you request exclusion from the Individual Defendants Settlements, and should NOT be re-submitted for the Individual Defendants Settlements.

You may obtain a Claim Form on the Settlement Website maintained by the Claims Administrator, at <a href="https://www.aegeansecuritieslitigation">www.aegeansecuritieslitigation</a>. Read the instructions carefully, fill out the Claim Form, include all supporting documentation the Claim Form asks for, sign it, and either mail it postmarked no later than September 20, 2023 or submit it electronically online no later than September 20, 2023. Please retain all records of your ownership of and transactions in the Aegean Securities, as they may be needed to document your claim.

Unless the Court orders otherwise, if you have not or do not timely submit a Claim Form, you will be barred from receiving any payments from the Gianniotis Net Settlement Fund and/or the Melissanidis Net Settlement Fund but will in all other

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respects be bound by the Order and Final Judgment Regarding Gianniotis and the Order and Final Judgment Regarding Melissanidis.

#### EXCLUDING YOURSELF FROM THE SETTLEMENT

# 13. What if I do not want to be part of the Individual Defendants Settlements? How do I exclude myself?

Each Settlement Class Member will be bound by all determinations and judgments in this lawsuit, including those concerning the Individual Defendants Settlements, whether favorable or unfavorable, unless such Person or entity mails, by first class mail (or its equivalent outside the U.S.), or otherwise delivers a written Request for Exclusion from the Settlement Class, addressed to:

In re Aegean Marine Petroleum Network, Inc. Securities Litigation
EXCLUSIONS
P.O. Box 173001
Milwaukee, WI 53217

The exclusion request must be *postmarked* no later than September 28, 2023. Such Persons requesting exclusion are also directed to provide the following information: (a) name; (b) address; (c) telephone number; (d) identity and original face value of any Aegean Securities purchased (or otherwise acquired) or sold; (e) prices or other consideration paid or received for such Aegean Securities during the Settlement Class Period; (f) the date of each purchase or sale transaction; and (g) a statement that the Person or entity wishes to be excluded from the Settlement Class for the purpose of the Individual Defendants Settlements. Any request for exclusion must also be signed by the Person or entity requesting exclusion. Unless otherwise ordered by the Court, any Settlement Class Member who does not submit a timely written request for exclusion as provided by this paragraph shall be bound by the Individual Defendants Settlements, including the releases.

If a Person or entity requests to be excluded from the Settlement Class for the purpose of the Individual Defendants Settlements, that Person or entity will not receive any benefit provided for in the Individual Defendants Settlements.

Note: The deadline to submit a Request for Exclusion to the Auditor Settlements has passed. Any new Requests for Exclusion will only apply to the Individual Defendants Settlements.

#### THE LAWYERS REPRESENTING YOU

#### 14. Do I have a lawyer in this case?

Yes. The Court appointed Berman Tabacco as Lead Counsel to represent all Settlement Class Members. Lead Counsel may be contacted at the address and phone number listed in response to Question 23 below. There is no need to retain your own lawyer. If you want to be represented by your own lawyer, you may hire one at your own expense.

## 15. How will the lawyers be paid?

At the Final Approval Hearing, Lead Counsel will ask the Court to approve (a) payment of up to 25% of the Individual Defendants Settlement Funds, or approximately \$2,987,499.75 plus interest, for attorneys' fees; and (b) for reimbursement of Counsel's out-of-pocket expenses that were not reimbursed from the Auditor Settlements, which are estimated not to exceed \$120,000. The attorneys' fees requested will compensate Lead Counsel for their work in continuing the prosecution of the Action and achieving the Individual Defendants Settlement Funds. The Court may, however, award less than this amount. In that case the difference will remain with the Individual Defendants Settlement Funds. Litigation Expenses may include reimbursement of the expenses of Lead Plaintiff in accordance with 15 U.S.C. § 78u-4(a)(4). Any fees and expenses awarded by the Court will be paid from the Individual Defendants Settlement Funds. Settlement Class Members are not personally liable for any such fees or expenses. The estimated average cost for such fees and expenses, if the Court approves Lead Counsel's fee and expense application, is approximately \$0.04 per affected common share. In connection with the Auditor Settlements, the Court approved Lead Plaintiff's request for a Litigation Expense Fund of \$500,000 for the

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continued prosecution of the case. Lead Plaintiff's request for expenses in connection with the Individual Defendants Settlements will be separate and apart from this Litigation Expense Fund, which currently has a balance of \$249,342.42 which belongs to the Settlement Class and will be returned to the Auditor Settlements Fund.

#### OBJECTING TO THE INDIVIDUAL DEFENDANTS SETTLEMENTS

#### 16. How do I tell the Court that I do not like the Individual Defendants Settlements?

If you are a Settlement Class Member and do not request exclusion in accordance with the response to Question 13 above, you can tell the Court that you do not agree with either or both of the Individual Defendants Settlements or any part of them, the Individual Defendants Plan of Allocation, Lead Counsel's application for attorneys' fees and reimbursement of Litigation Expenses.

Objections or oppositions must be in writing. You must file any written objection or opposition, together with copies of all other supporting papers and briefs, with the Clerk's Office at the United States District Court for the Southern District of New York at the address set forth below on or before September 28, 2023. You must also serve the papers on Lead Counsel for the Settlement Class and counsel for the Individual Defendants at the addresses set forth below so that the papers are *postmarked* on or before September 28, 2023.

Clerk's Office	Lead Counsel for the Settlement Class	<b>Counsel For Gianniotis</b>	Counsel For Melissanidis
UNITED STATES DISTRICT COURT FOR THE SOUTHERN DISTRICT OF NEW YORK 500 Pearl Street New York, New York	BERMAN TABACCO Nicole Lavallee 425 California Street, Suite 2300 San Francisco, CA 94104	MORVILLO ABRAMOWITZ GRAND IASON & ANELLO P.C. Brian A. Jacobs 565 Fifth Avenue New York, NY 10017	BOIES SCHILLER FLEXNER LLP Matthew L. Schwartz55 Hudson Yards New York, NY 10001
10007			

Any objection must include: (a) the full name, address, and phone number of the objecting Settlement Class Member; (b) a list and supporting documentation evidencing all of the Settlement Class Member's transactions involving Aegean Securities included in the Settlement Class definition, including brokerage confirmation receipts or other competent documentary evidence of such transactions, including the amount and date of each purchase or sale and the prices paid and/or received; (c) a written statement of all grounds for the objection accompanied by any legal support for the objection; (d) copies of any papers, briefs or other documents upon which the objection is based; (e) a list of all Persons who will be called to testify in support of the objection; (f) a statement of whether the objector intends to appear at the Final Approval Hearing; (g) a list of other cases in which the objector or the objector's counsel have appeared either as settlement objectors or as counsel for objectors in the preceding five years; and (h) the objector's signature, even if represented by counsel. Persons who intend to object to the Individual Defendants Settlements, the Individual Defendants Plan of Allocation and/or Lead Plaintiff's application for attorneys' fees and reimbursement of Litigation Expenses, and who desire to present evidence at the Final Approval Hearing, must include in their written objections the exhibits they intend to introduce into evidence at the Final Approval Hearing.

You may not object to the Individual Defendants Settlements, or any aspect of them, if you excluded yourself from the Settlement Class.

You may file a written objection without having to appear at the Final Approval Hearing. You may not appear at the Final Approval Hearing to present your objection, however, unless you first file and serve a written objection in accordance with the procedures described above, unless the Court orders otherwise.

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You are not required to hire an attorney to represent you in making written objections or in appearing at the Final Approval Hearing. If you decide to hire an attorney, which will be at your own expense, he or she must file a Notice of Intent to Appear with the Court and serve it on Lead Counsel so that the notice is received on or before September 28, 2023.

Note: The Auditor Settlements are final and the deadline to object to the Auditor Settlements has passed. Any new objections will only apply to the Gianniotis Settlement and/or to the Melissanidis Settlement.

# 17. What's the difference between objecting to and being excluded from the Individual Defendants Settlements?

Objecting is simply telling the Court that you do not like something about either or both of the Individual Defendants Settlements. You can object only if you stay in the Settlement Class. Excluding yourself is telling the Court that you do not want to be part of the Settlement Class. If you exclude yourself, you have no basis to object because the case no longer affects you.

#### THE COURT'S FINAL APPROVAL HEARING

The Court will hold a hearing to decide whether to approve the Individual Defendants Settlements, the Individual Defendants Plan of Allocation and any request for attorneys' fees and reimbursement of Litigation Expenses. You do not need participate in that hearing but are welcome to do so if you so desire. This hearing may be held in person, telephonically or virtually.

#### 18. When and where will the Court decide whether to approve the Individual Defendants Settlements?

The Final Approval Hearing on these Individual Defendants Settlements will be held on October 19, 2023, at 11:00 a.m., before the Honorable Naomi Reice Buchwald, United States District Judge, at the United States District Court for the Southern District of New York, either telephonically, via video conference or at 500 Pearl Street, Courtroom 21A, New York, New York 10007.

Unless the Court orders otherwise, any Settlement Class Member who does not object in the manner described above will be deemed to have waived any objection and will be forever foreclosed from making any objection to the proposed Individual Defendants Settlements, the Gianniotis Plan of Allocation, the Melissanidis Plan of Allocation, and/or Lead Counsel's application for attorneys' fees and reimbursement of Litigation Expenses. Settlement Class Members do not need to appear at the hearing or take any other action to indicate their approval.

If there are objections, the Court will consider them. The Court has discretion to listen to people who have made a written request to speak at the hearing. After the hearing, the Court will decide whether to approve either or both of the Individual Defendants Settlements, the Gianniotis Plan of Allocation, the Melissanidis Plan of Allocation, Lead Counsel's application for attorneys' fees and reimbursement of Litigation Expenses. We do not know how long these decisions will take.

The hearing may be held telephonically, virtually or moved to a different location or time without additional notice, so it is a good idea to check with Lead Counsel, www.aegeansecuritieslitigation.com or call 1-877-888-9760.

#### 19. Do I have to come to the Final Approval Hearing?

No. Lead Counsel will answer questions the Judge may have. But you are welcome to come at your own expense.

#### 20. May I speak at the Final Approval Hearing?

Any Settlement Class Member who did not request to be excluded from the Settlement Class is entitled to appear at the Final Approval Hearing, in person or through a duly authorized attorney, and to show cause (a) why either or both of the

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Individual Defendants Settlements should not be approved as fair, reasonable and adequate (b) why the Gianniotis Plan of Allocation and/or the Melissanidis Plan of Allocation should or should not be approved; (c) why judgments should not be entered thereon; or (d) why Lead Counsel's application for attorneys' fees and reimbursement of Litigation Expenses should not be granted. However, you may not be heard at the Final Approval Hearing unless, on or before September 28, 2023, you file a Notice of Intent to Appear and a statement of the position that you will assert and the grounds for the position, together with copies of any supporting papers or briefs with the Clerk of the Court, United States District Court, Southern District of New York, 500 Pearl Street, New York, New York 10007, as described in response Question 16 above.

#### IF YOU DO NOTHING

#### 21. What happens if I do nothing at all?

Claim Forms submitted for the Auditor Settlements will automatically be considered for recovery in the Individual Defendants Settlements unless you file a request for exclusion from the Settlement Class. However, if you did not submit a timely Claim Form in the Auditor Settlements and you do nothing in response to this Detailed Notice, you will not be able to start a lawsuit, continue with a lawsuit or be part of any other lawsuit against the Individual Defendants about the legal issues in this Action ever again, unless you exclude yourself.

#### **GETTING MORE INFORMATION**

#### 22. Are there more details about the Individual Defendants Settlements?

Yes. This Detailed Notice summarizes the proposed Individual Defendants Settlements. More details (including definitions of various terms used in this Detailed Notice) are contained in the pleadings and other papers in this Action, including the Individual Defendants Stipulations, which have been filed with the Court. Lead Plaintiff's final submissions in support of the Individual Defendants Settlements will be filed with the Court prior to the Final Approval Hearing. In addition, information about the Individual Defendants Settlements (including, among other documents, copies of the Gianniotis Stipulation, the Melissanidis Stipulation, the Claim Form and the Complaint) will be posted on the Settlement Website set up for this case: <a href="www.aegeansecuritieslitigation.com">www.aegeansecuritieslitigation.com</a>. If you have any further questions, you may contact Lead Counsel identified in the response to Question 23 below. You may also call the Claims Administrator at 1-877-888-9760 (Toll Free) to find answers to common questions about the Individual Defendants Settlements and obtain information about the status of the settlement approval process.

#### 23. Who Should I Contact If I Have Questions?

All inquiries concerning this Detailed Notice or the Claim Form should be directed to either:

The Claims Administrator
In re Aegean Marine Petroleum Network, Inc.
Securities Litigation
Claims Administrator
c/o A.B. Data, Ltd.
P.O. Box 173088
Milwaukee, WI 53217

Lead Counsel
Nicole Lavallee
BERMAN TABACCO
425 California Street, Suite 2300
San Francisco, CA 94104
Telephone: (415) 433-3200
law@bermantabacco.com

DO NOT CALL OR WRITE THE COURT OR THE OFFICE OF THE CLERK OF COURT REGARDING THIS DETAILED NOTICE.

#### **SPECIAL NOTICE TO NOMINEES**

#### 24. Special Notice to Banks, Trustees, Brokerage Firms or Other Nominees

Note: The Court has approved notice of the Individual Defendants Settlements in a shortened postcard format (the "Postcard Notice") to individuals previously identified as potential Settlement Class members of the Auditor Settlements.

If you hold any Aegean Securities purchased during the Settlement Class Period as nominee for a beneficial owner, then you must adhere to the following procedures, as applicable:

- If in the notice program implemented in connection with the Auditor Settlements (the "Original Notice Program"), you provided the Claims Administrator with names and addresses of such beneficial owners, you do not need to take any additional action.
- If in the Original Notice Program, you elected to obtain bulk copies of the settlement notice from the Claims Administrator to provide to such beneficial owners yourself, you must provide the Postcard Notice to all such clients within seven (7) calendar days of receipt from the Claims Administrator.
- If you did not have any potential members of the Auditor Settlement Classes among your clients, you should determine whether you have since acquired any new clients who may be potential members of Settlement Class and, if you did, either provide the names and addresses of such clients to the Claims Administrator or request bulk copies of the Postcard Notice. The Claims Administrator will also provide this Detailed Notice and/or the Claim Form to any Person or entity who requests one.

The Claims Administrator may be reached as follows:

In re Aegean Marine Petroleum Network, Inc. Securities Litigation
Claims Administrator
c/o A.B. Data, Ltd.
P.O. Box 173088
Milwaukee, WI 53217

If you choose to mail the Postcard Notice yourself, you may obtain from the Claims Administrator (without cost to you) as many additional copies of the Postcard Notice as you will need to complete the mailing. Regardless of whether you choose to complete the mailing yourself or elect to have the mailing performed for you, you may seek reimbursement of your reasonable expenses actually incurred in complying with these directions by providing the Claims Administrator with proper documentation supporting the expenses for which reimbursement is sought. Reasonable expenses shall not exceed \$0.10 per mailing record provided to the Claims Administrator; \$0.50 per unit for each Postcard Notice actually mailed, which amount includes postage; and \$0.10 per Postcard Notice sent via email. Such properly documented expenses incurred by nominees in compliance with these directions shall be paid from the Settlement Fund, with any disputes as to the reasonableness or documentation of expenses incurred subject to review by the Court.

Dated: June 1, 2023

By Order of the Clerk of Court

United States District Court

for the Southern District of New York

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In re Aegean Marine Petroleum Network, Inc. Securities Litigation c/o A.B. Data, Ltd.
P.O. Box 173088
Milwaukee, WI 53217
Toll-Free Number: 1-877-888-9760

Settlement Website: www.aegeansecuritieslitigation.com
Email: info@aegeansecuritieslitigation.com

#### PROOF OF CLAIM AND RELEASE FORM

To be eligible to receive a share of the Individual Defendants Settlement Funds in connection with the Individual Defendants Settlements in the action captioned *In re Aegean Marine Petroleum Network, Inc. Securities Litigation*, No. 18-Civ-4993-NRB (S.D.N.Y.) (the "Action"), pending in the United States District Court for the Southern District of New York (the "Court"), you must be a Settlement Class Member and complete and sign this Proof of Claim and Release Form ("Claim Form" or "Claim") and mail it by First-Class Mail to the above address, **postmarked no later than September 20, 2023**. However, please note that any Claim Forms already submitted in the prior Auditor Settlements will be automatically considered for recovery in the Individual Defendants Settlements and do not need to be re-submitted.

Failure to submit your Claim Form by the date specified will subject your Claim to rejection and may preclude you from being eligible to recover any money in connection with the Individual Defendants Settlements.

Do not mail or deliver your Claim Form to the Court, the Settling Parties or their counsel. Submit your Claim Form only to the Claims Administrator at the address set forth above.

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#### **PART I – CLAIMANT INFORMATION**

The Claims Administrator will use this information for all communications regarding this Claim Form. If this information

(Please read Part II. General Instructions below before completing this page.)

changes, you MUST notify the Claims Administrator in writing at the address above. Beneficial Owner's Name Co-Beneficial Owner's Name Entity Name (if Beneficial Owner is not an individual) Representative or Custodian Name (if different from Beneficial Owner(s) listed above) Address 1 (street name and number) Address 2 (apartment, unit or box number) Zip Code City State Foreign Country (only if not USA) Last four digits of Social Security Number or Taxpayer Identification Number Telephone Number (day) Telephone Number (evening) Email address (Email address is not required, but if you provide it you authorize the Claims Administrator to use it in providing you with information relevant to this Claim.) Account Number (account(s) through which the Securities were traded)<sup>1</sup> Claimant Account Type (check appropriate box): Individual (includes joint owner accounts) Pension Plan □ Trust Corporation Estate Other IRA/401K (please specify)

<sup>&</sup>lt;sup>1</sup> If the account number is unknown, you may leave blank. If filing for more than one account for the same legal entity, you may write "multiple." Please see paragraph 11 of the General Instructions for more information on when to file separate Claim Forms for multiple accounts, *i.e.*, when you are filing on behalf of distinct legal entities.

#### **PART II – GENERAL INSTRUCTIONS**

- 1. It is important that you completely read and understand the Notice of (I) Pendency of Class Action and Proposed Individual Defendants Settlements; and (II) Final Approval Hearing For The Individual Defendants Settlements, The Individual Defendants Plan of Allocation and Motion For Approval of Attorneys' Fees and Reimbursement of Litigation Expenses (the "Detailed Notice") that accompanies this Claim Form, including the Individual Defendants Plan of Allocation set forth in the Detailed Notice. Note: The Individual Defendants Settlements are the third and fourth proposed partial settlements in this Action and were entered into with the final two remaining defendants in this Action. The Detailed Notice describes the proposed Individual Defendants Settlements, how Settlement Class Members are affected by the Individual Defendants Settlements and the manner in which the Gianniotis Net Settlement Fund and/or the Melissanidis Net Settlement Fund will be distributed if the Individual Defendants Settlements and the Individual Defendants Plan of Allocation are approved by the Court. The Detailed Notice also contains the definitions of many of the defined terms (which are indicated by initial capital letters) used in this Claim Form. By signing and submitting this Claim Form, you will be certifying that you have read and that you understand the Detailed Notice, including the terms of the releases described therein and provided for herein.
- 2. This Claim Form is directed to all Persons who purchased or otherwise acquired (a) Aegean Marine Petroleum, Inc. ("Aegean") common stock (Tickers: ANW, ANWWQ) (CINS: Y0017S102) ("Common Stock"); (b) Aegean Notes ("Notes"): Aegean 4.00% Convertible Unsecured Senior Notes due 11/1/2018 issued 10/23/2013 (CUSIP: Y0020QAA9, ISIN: USY0020QAA95) and/or Aegean 4.25% Convertible Unsecured Senior Notes due 12/15/2021 issued 12/19/2016 (CUSIP: 00773VAA4 (CUSIP changed to 00773VAB2 on 2/12/2018), ISIN: US00773VAB27); and/or (c) purchased call option contracts or sold put option contracts on Aegean Common Stock (collectively, "Aegean Securities") during the period between February 27, 2014 through November 5, 2018, inclusive (the "Settlement Class Period"), and were allegedly damaged thereby ("Settlement Class"). Any Person who falls within the definition of the Settlement Class is referred to as a "Settlement Class Member."
- 3. Excluded from the Settlement Class are: (a) Defendants and any affiliates or subsidiaries of Defendants; (b) Persons who have been dismissed from this Action ("Dismissed Defendants") and their affiliates or subsidiaries; (c) present or former officers, directors, partners or controlling Persons as of April 30, 2018 of Aegean, its subsidiaries or its affiliates, any Defendant or any Dismissed Defendant, and their immediate family members; (d) the directors' and officers' liability carriers and any affiliates or subsidiaries thereof of any Defendant, Dismissed Defendant or Aegean; (e) any entity in which any Defendant, Dismissed Defendant or Aegean has or has had a controlling interest; and (f) the legal representatives, heirs, estates, agents, successors or assigns of any Person or entity described in the preceding categories. Also excluded from the Settlement Class is any Settlement Class Member that validly and timely requests exclusion to the Individual Defendants Settlements as approved by the Court.
- 4. If you are not a Settlement Class Member, do not submit a Claim Form. YOU MAY NOT, DIRECTLY OR INDIRECTLY, PARTICIPATE IN THE INDIVIDUAL DEFENDANTS SETTLEMENTS IF YOU ARE NOT A SETTLEMENT CLASS MEMBER. THUS, IF YOU ARE EXCLUDED FROM THE SETTLEMENT CLASS (AS SET FORTH IN PARAGRAPH 3 ABOVE), ANY CLAIM FORM THAT YOU SUBMIT, OR THAT MAY BE SUBMITTED ON YOUR BEHALF, WILL NOT BE ACCEPTED.
- 5. If you are a Settlement Class Member, you will be bound by the terms of any judgments or orders entered in the Action related to the Gianniotis Released Parties and/or the Melissanidis Released Parties WHETHER OR NOT YOU SUBMIT A CLAIM FORM, unless you submit a request for exclusion from the Settlement Class. Thus, if you are a Settlement Class Member and do not request exclusion from the Gianniotis Settlement, the Order and Final Judgment Regarding Gianniotis will release, and enjoin the filing or continued prosecution of, the Gianniotis Released Claims against Gianniotis and the other Gianniotis Released Parties. And, if you are a Settlement Class Member and do not request exclusion from the Melissanidis Settlement, the Order and Final Judgment Regarding Melissanidis will release, and enjoin the filing or continued prosecution of, the Melissanidis Released Claims against Melissanidis and the other Melissanidis Released Parties.
- 6. You may be eligible to participate in the distribution of the Gianniotis Net Settlement Fund and/or the Melissanidis Net Settlement Fund as described herein only if you are a Member of the Settlement Class and if you complete and return this Claim Form as specified herein. If you fail to submit a timely, properly addressed, and completed Claim Form with the required documentation, your Claim may be rejected, and you may be precluded from receiving any distribution from the Gianniotis Net Settlement Fund and/or the Melissanidis Net Settlement Fund. However, please note that any Claim Forms already submitted in the prior Auditor Settlements will be automatically considered for recovery in the Individual Defendants Settlements and do not need to be re-submitted.
- 7. Submission of a Claim Form does not guarantee that you will share in the proceeds of the Individual Defendants Settlements. The distribution of the Gianniotis Net Settlement Fund and/or the Melissanidis Net Settlement

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Fund will be governed by the Individual Defendants Plan of Allocation, if it is approved by the Court, or by such other plan of allocation approved by the Court.

- 8. Use the Schedule of Transactions in Part III of this Claim Form to supply all required details of your transaction(s) in and holdings of Aegean Securities. On the Schedule of Transactions, please provide all of the requested information with respect to your holdings, purchases, acquisitions, and sales of Aegean Securities, whether such transactions resulted in a profit or a loss. Failure to report all transaction and holding information during the requested time periods may result in the rejection of your Claim.
- 9. Please note: To be eligible to receive a distribution under the Individual Defendants Plan of Allocation, you must be a Settlement Class Member and have purchased or otherwise acquired Aegean Securities (or sold Aegean put options) during the Settlement Class Period.<sup>2</sup>
- 10. You are required to submit genuine and sufficient documentation for all of your transactions and holdings of Aegean Securities set forth in the Schedule of Transactions in Part III of this Claim Form. Documentation may consist of copies of brokerage confirmation slips or monthly brokerage account statements or an authorized statement from your broker containing the transactional and holding information found in a broker confirmation slip or account statement. The Settling Parties and the Claims Administrator do not independently have information about your investments in Aegean Securities. IF SUCH DOCUMENTS ARE NOT IN YOUR POSSESSION, PLEASE OBTAIN COPIES OR EQUIVALENT CONTEMPORANEOUS DOCUMENTS FROM YOUR BROKER. FAILURE TO SUPPLY THIS DOCUMENTATION MAY RESULT IN THE REJECTION OF YOUR CLAIM. DO NOT SEND ORIGINAL DOCUMENTS. Please keep a copy of all documents that you send to the Claims Administrator. Also, please do not highlight any portion of the Claim Form or any supporting documents.
- One Claim Form should be submitted for each separate legal entity or separately managed account. Separate Claim Forms should be submitted for each separate legal entity (e.g., an individual should not combine his or her IRA holdings and transactions with holdings and transactions made solely in the individual's name). Generally, a single Claim Form should be submitted on behalf of one legal entity including all holdings and transactions made by that entity on one Claim Form. However, if a single Person or legal entity had multiple accounts that were separately managed, separate Claim Forms may be submitted for each such account. The Claims Administrator reserves the right to request information on all the holdings and transactions in Aegean Securities made on behalf of a single beneficial owner.
- 12. All joint beneficial owners must sign this Claim Form. If you purchased or otherwise acquired Aegean Securities (or sold Aegean put options) and held the securities in your name, you are the beneficial owner as well as the record owner and you must sign this Claim Form to participate in the Settlement. If, however, you purchased or otherwise acquired Aegean Securities (or sold Aegean put options) and the securities were registered in the name of a third party, such as a nominee or brokerage firm, you are the beneficial owner of these securities, but the third party is the record owner. The beneficial owner, not the record owner, must sign this Claim Form.
- 13. Agents, executors, administrators, guardians and trustees must complete and sign the Claim Form on behalf of Persons represented by them, and they must:
  - (a) expressly state the capacity in which they are acting;
  - (b) identify the name, account number, Social Security Number (or Taxpayer Identification Number), address and telephone number of the beneficial owner of (or other Person or entity on whose behalf they are acting with respect to) the Aegean Securities; and
  - (c) furnish herewith evidence of their authority to bind to the Claim Form the Person or entity on whose behalf they are acting. (Authority to complete and sign a Claim Form cannot be established by stockbrokers demonstrating only that they have discretionary authority to trade stock in another Person's accounts.)
  - 14. By submitting a signed Claim Form, you will be swearing that you:
    - (a) own(ed) the Aegean Securities you have listed in the Claim Form; or
    - (b) are expressly authorized to act on behalf of the owner thereof.
- 15. By submitting a signed Claim Form, you will be swearing to the truth of the statements contained therein and the genuineness of the documents attached thereto, subject to penalties of perjury under the laws of the United States of America. The making of false statements, or the submission of forged or fraudulent documentation, will result in the rejection of your Claim and may subject you to civil liability or criminal prosecution.
- 16. If the Court approves either or both of the Individual Defendants Settlements, all payments to eligible Authorized Claimants pursuant to the Individual Defendants Plan of Allocation (or such other plan of allocation as the Court

<sup>&</sup>lt;sup>2</sup> Any transactions in the Aegean Securities executed outside regular trading hours for the U.S. financial markets shall be deemed to have occurred during the next trading session.

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approves at a later time) will be made after the completion of all Claims processing. This could take substantial time. Please be patient.

- 17. PLEASE NOTE: As set forth in the Individual Defendants Plan of Allocation, each Authorized Claimant shall receive his, her or its *pro rata* share of the Gianniotis Net Settlement Fund and/or Melissanidis Net Settlement Fund. If the prorated payment to any Authorized Claimant, however, calculates to less than \$10.00, it will not be included in the calculation and no distribution will be made to that Authorized Claimant.
- If you have questions concerning the Claim Form or need additional copies of the Claim Form or the Ltd., Detailed Notice, you may contact the Claims Administrator, A.B. Data, by email info@aegeansecuritieslitigation.com, or by toll-free phone at 1-877-888-9760, or you may download the documents from the Settlement Website, www.aegeansecuritieslitigation.com.
- 19. NOTICE REGARDING ELECTRONIC FILES: Certain claimants with large numbers of transactions may request, or may be requested, to submit information regarding their transactions in electronic files. To obtain the mandatory electronic filing requirements and file layout, you may visit the Settlement Website at <a href="www.aegeansecuritieslitigation.com">www.aegeansecuritieslitigation.com</a>, or you may email the Claims Administrator's electronic filing department at info@aegeansecuritieslitigation.com. Any file not in accordance with the required electronic filing format will be subject to rejection. No electronic files will be considered to have been properly submitted unless the Claims Administrator issues an email to that effect after processing your file with your Claim numbers and respective account information. Do not assume that your file has been received or processed until you receive this email. If you do not receive such an email within 10 days of your submission, you should contact the electronic filing department at info@aegeansecuritieslitigation.com to inquire about your file and confirm it was received and acceptable.

#### **IMPORTANT: PLEASE NOTE**

YOUR CLAIM IS NOT DEEMED FILED UNTIL YOU RECEIVE AN ACKNOWLEDGEMENT POSTCARD. THE CLAIMS ADMINISTRATOR WILL ACKNOWLEDGE RECEIPT OF YOUR CLAIM FORM BY MAIL WITHIN 60 DAYS. IF YOU DO NOT RECEIVE AN ACKNOWLEDGEMENT POSTCARD WITHIN 60 DAYS, PLEASE CALL THE CLAIMS ADMINISTRATOR TOLL-FREE AT 1-877-888-9760.

#### PART III - SCHEDULE OF TRANSACTIONS IN AEGEAN SECURITIES

Complete this Part III if, and only if, you purchased or otherwise acquired Aegean Securities (or sold Aegean put options) during the Settlement Class Period. Please include proper documentation with your Claim Form as described in detail in Part II – General Instructions, Paragraph 10, above. Do not include information in this section regarding securities other than the following Aegean Securities: (i) Aegean Common Stock (Ticker: ANWWQ; CINS: Y0017S102) (pre-bankruptcy Aegean traded under the ticker "ANW"); (ii) Aegean 4.00% Convertible Unsecured Senior Notes (the "4.00% Notes") (CUSIP: Y0020QAA9; ISIN: USY0020QAA95); (iii) Aegean 4.25% Convertible Unsecured Senior Notes (the "4.25% Notes") (CUSIPs: 00773VAB2, 00773VAA4 (prior to February 12, 2018); ISIN: US00773VAB27); and/or (iv) purchased call option contracts or sold put option contracts on Aegean Common Stock (collectively referred to as the "Aegean Securities") within the Settlement Class Period.

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#### SCHEDULE OF TRANSACTIONS IN AEGEAN COMMON STOCK

1. HOLDINGS OF AEGEAN COMMON STOCK AS OF FEBRUARY 26, 2014 – State the total number of shares of Aegean common stock (Tickers: ANW, ANWWQ) currently held as of the close of trading on February 26, 2014. (Must be documented.) If none, write "zero" or "0."						
none, write zero or 0.						
2. PURCHASES/ACQUISITIONS OF AEGEAN COMMON STOCK FROM FEBRUARY 27, 2014 THROUGH FEBRUARY 4, 2019 – Separately list each and every purchase/acquisition of Aegean common stock (Tickers: ANW, ANWWQ; CINS: Y0017S102) made from after the opening of trading on February 27, 2014, through and including the close of trading on February 4, 2019. (Must be documented.)						
Date of Purchase/ Acquisition (List Chronologically) (Month/Day/Year)	Number of Shares Purchased/ Acquired	Purchase/Acquisition Price Per Share	Total Purchase/Acquisition Price (excluding taxes, commissions, and fees)			
/ /		\$	\$			
/ /		\$	\$			
/ /		\$	\$			
/ / <b>\$ \$</b>						
3. SALES OF AEGEAN COMMON STOCK FROM FEBRUARY 27, 2014 THROUGH FEBRUARY 4, 2019 – Separately list each and every sale/disposition of Aegean common stock (Tickers: ANW, ANWWQ; CINS: Y0017S102) that were purchased or otherwise acquired from after the opening of trading on February 27, 2014, through and including the close of trading on February 4, 2019. (Must be documented.)  IF NONE, CHECK HERE: □						
Date of Sale (List Chronologically) (Month/Day/Year)	(List Chronologically)  Number of Sale Price Per Share  Total Sale Price (excluding taxes, commissions, and fees)					
1 1		\$	\$			
/ /		\$	\$			
/ /	/ / <b>\$ \$</b>					
/ / \$ \$						
4. HOLDINGS OF AEGAN COMMON STOCK AS OF FEBRUARY 4, 2019 – State the total number of shares of Aegean common stock (Tickers: ANW, ANWWQ; CINS: Y0017S102) currently held as of the close of trading on February 4, 2019. (Must be documented.) If none, write "zero" or "0."						

IF YOU NEED ADDITIONAL SPACE TO LIST YOUR TRANSACTIONS, YOU MUST PHOTOCOPY THIS PAGE AND CHECK THIS BOX. ☐
IF YOU DO NOT CHECK THIS BOX THESE ADDITIONAL PAGES WILL NOT BE REVIEWED.

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#### SCHEDULE OF TRANSACTIONS IN AEGEAN 4.00% NOTES

5. HOLDINGS OF AEGEAN 4.00% NOTES AS OF FEBRUARY 26, 2014 – State the total number of \$100 par Aegean 4.00% Notes (CUSIP: Y0020QAA9; ISIN: USY0020QAA95) currently held as of the close of trading on February 26, 2014. (Must be documented.) If none, write "zero" or "0."						
				THROUGH FEBRUARY 4,		
				(CUSIP: Y0020QAA9; ISIN:		
~ //	1	ng of trading on February 27	, 2014, through and including t	the close of trading on February		
4, 2019. (Must be document			T			
Date of Purchase/	Number of 100					
Acquisition (List	Par Notes	Purchase/Acquisition		Acquisition Price		
Chronologically)	Purchased/	Price Per Note	(excluding taxes, co	ommissions, and fees)		
(Month/Day/Year)	Acquired					
/ /		\$	\$			
/ /		\$	\$			
/ /		\$	\$			
/ /		\$	\$			
7. SALES OF AEGEAN 4.00% NOTES FROM FEBRUARY 27, 2014 THROUGH FEBRUARY 4, 2019 – Separately list each						
and every sale/disposition of	of \$100 par Aegea	n 4.00% Notes (CUSIP: Y0	020QAA9; ISIN: USY0020Q	AA95) that were purchased or		
otherwise acquired from after the opening of trading on February 27, 2014, through and including the close of trading on February 4,						
2019. (Must be documented.)						
IF NONE, CHECK HERE: □						
Date of Sale	N 1 C	G.I.D.				
(List Chronologically)	Number of	Sale Price	Total Sale Price (excluding	taxes, commissions, and fees)		
(Month/Day/Year)	Shares Sold	Per Share	, ,	,		
/ /		\$	\$			
/ /		\$	\$			
		_				
/ /		\$	\$			
/ / <b>\$ \$</b>						
8. HOLDINGS OF AEGEAN 4.00% NOTES AS OF FEBRUARY 4, 2019 – State the total number of \$100 par Aegean 4.00%						
Notes (CUSIP: Y0020QAA9; ISIN: USY0020QAA95) currently held as of the close of trading on February 4, 2019. (Must be						
documented.) If none, writ	·	· · · · · · · · · · · · · · · · · · ·	S	<del>-</del> · · · · · ·		
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IF YOU NEED ADDITIONAL SPACE TO LIST YOUR TRANSACTIONS, YOU MUST PHOTOCOPY THIS PAGE AND CHECK THIS BOX. ☐
IF YOU DO NOT CHECK THIS BOX THESE ADDITIONAL PAGES WILL NOT BE REVIEWED.

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#### SCHEDULE OF TRANSACTIONS IN AEGEAN 4.25% NOTES

9. HOLDINGS OF AEGEAN 4.25% NOTES AS OF FEBRUARY 26, 2014 – State the total number of \$100 par Aegean 4.25%								
Notes (CUSIPs: 00773VAB2, 00773VAA4 (prior to February 12, 2018); ISIN: US00773VAB27) currently held as of the close of								
trading on February 26, 2014. (Must be documented.) If none, write "zero" or "0."								
10. PURCHASES/ACQUISITIONS OF AEGEAN 4.25% NOTES FROM FEBRUARY 27, 2014 THROUGH FEBRUARY 4,								
			) par 4.25% Notes (CUSIPs: 00773VAB2, 00773VAA4 (prior					
to February 12, 2018); ISIN: US00773VAB27) made from after the opening of trading on February 27, 2014, through and including								
the close of trading on February 4, 2019. (Must be documented.)								
Date of Purchase/	Number of 100							
Acquisition (List	Par Notes	Purchase/Acquisition	Total Purchase/Acquisition Price					
Chronologically)	Purchased/	Price Per Note	(excluding taxes, commissions, and fees)					
(Month/Day/Year)	Acquired							
/ /		\$	\$					
/ /		\$	\$					
/ /		\$	\$					
/ /		\$	\$					
			114 THROUGH FEBRUARY 4, 2019 – Separately list each					
and every sale/disposition	of \$100 par Aegea	n 4.25% Notes (CUSIPs: 00	0773VAB2, 00773VAA4 (prior to February 12, 2018); ISIN:					
and every sale/disposition (US00773VAB27) that were	of \$100 par Aegea re purchased or oth	n 4.25% Notes (CUSIPs: 00 herwise acquired from after	9773VAB2, 00773VAA4 (prior to February 12, 2018); ISIN: the opening of trading on February 27, 2014, through and					
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and every sale/disposition of US00773VAB27) that were including the close of tradit IF NONE, CHECK HER  Date of Sale (List Chronologically) (Month/Day/Year)	of \$100 par Aegea re purchased or othing on February 4, 2 E:   Number of	n 4.25% Notes (CUSIPs: 00 herwise acquired from after 2019. (Must be documented Sale Price Per Note \$	7773VAB2, 00773VAA4 (prior to February 12, 2018); ISIN: the opening of trading on February 27, 2014, through and 1.)  Total Sale Price (excluding taxes, commissions, and fees)  \$					
and every sale/disposition of US00773VAB27) that were including the close of tradicity IF NONE, CHECK HER  Date of Sale (List Chronologically) (Month/Day/Year)	of \$100 par Aegea re purchased or oth ng on February 4, 2 E:   Number of Notes Sold	n 4.25% Notes (CUSIPs: 00 herwise acquired from after 2019. (Must be documented Sale Price Per Note \$	7773VAB2, 00773VAA4 (prior to February 12, 2018); ISIN: the opening of trading on February 27, 2014, through and al.)  Total Sale Price (excluding taxes, commissions, and fees)  \$ \$ \$ \$					
and every sale/disposition US00773VAB27) that wer including the close of tradicity IF NONE, CHECK HER  Date of Sale (List Chronologically) (Month/Day/Year)  / / / / / / 12. HOLDINGS OF AEC	of \$100 par Aegea re purchased or oth ng on February 4, 2 E:   Number of Notes Sold  GEAN 4.25% NO	n 4.25% Notes (CUSIPs: 00 herwise acquired from after 2019. (Must be documented Sale Price Per Note  \$ \$ \$ \$ \$ TES AS OF FEBRUARY 4	7773VAB2, 00773VAA4 (prior to February 12, 2018); ISIN: the opening of trading on February 27, 2014, through and h.)  Total Sale Price (excluding taxes, commissions, and fees)  \$ \$ \$ \$ \$ \$ \$ 4, 2019 – State the total number of \$100 par Aegean 4.25%					
and every sale/disposition of US00773VAB27) that were including the close of tradicity in the tradicity of t	of \$100 par Aegea re purchased or oth ng on February 4, 2 E:   Number of Notes Sold  GEAN 4.25% NOTES, 00773VAA4 (p	sale Price Per Note  Sale Price Per Note  \$  \$  \$  \$  \$  \$  TES AS OF FEBRUARY 4-  prior to February 12, 2018))	7773VAB2, 00773VAA4 (prior to February 12, 2018); ISIN: the opening of trading on February 27, 2014, through and al.)  Total Sale Price (excluding taxes, commissions, and fees)  \$ \$ \$ \$					
and every sale/disposition US00773VAB27) that wer including the close of tradicity IF NONE, CHECK HER  Date of Sale (List Chronologically) (Month/Day/Year)  / / / / / / 12. HOLDINGS OF AEC	of \$100 par Aegea re purchased or oth ng on February 4, 2 E:   Number of Notes Sold  GEAN 4.25% NOTES, 00773VAA4 (p	sale Price Per Note  Sale Price Per Note  \$  \$  \$  \$  \$  \$  TES AS OF FEBRUARY 4-  prior to February 12, 2018))	7773VAB2, 00773VAA4 (prior to February 12, 2018); ISIN: the opening of trading on February 27, 2014, through and h.)  Total Sale Price (excluding taxes, commissions, and fees)  \$ \$ \$ \$ \$ \$ \$ 4, 2019 – State the total number of \$100 par Aegean 4.25%					

IF YOU NEED ADDITIONAL SPACE TO LIST YOUR TRANSACTIONS, YOU MUST PHOTOCOPY THIS PAGE AND CHECK THIS BOX. 
IF YOU DO NOT CHECK THIS BOX THESE ADDITIONAL PAGES WILL NOT BE REVIEWED.

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## SCHEDULE OF TRANSACTIONS IN AEGEAN CALL OPTIONS

	TS ON AEGEAN COMMON STOCK – lose of trading on February 26, 2014. (Must					IF NONE, CHECK HERE				
Strike Price of Call Option Contract	Expiration Date of Call Option Contract (Month/Year)			Number of Call Option Contracts in Which You Had an Open Interest						
\$	/ /									
\$	/ /									
purchase/acquisition (inc through and including th 4, 2019. (Must be docur	cluding free rece e expiration date	ipts) of Aegean	Call C	ption co	ntract	s from af	ter the opening of	trading on Fe	ebruary 27, 2014,	
Date of Purchase/ Acquisition (Chronologically) (Month/Day/Year)	Strike Price of Call Option Contract	Expiration Date of Call Option Contract (Month/ Day/Year)	Number of Call Option Contracts Purchased/ Acquired		Purchase Acquisition Price Per Call Option Contract		Total Purchase/ Acquisition Price (excluding taxes, commissions, and fees)	Insert an "E" if Exercised Insert an "X" if Expired	Exercise Date (Month/ Day/ Year)	
/ /	\$	/ /			\$		\$		/ /	
/ /	\$	/ /			\$		\$		/ /	
15. SALES OF AEGEAN CALL OPTION CONTraction (including free deliveries) of Aegean C trading on February 27, 2014, through and including the contracts that you held long as of the close of trading or				tion cont ration da	racts fate of	from after any Aege	r the opening of ean Call Option		IE, CHECK IERE	
Date of Sale (Chronologically) (Month/Day/Year)	Strike Price of Call Option Contract	Expiration Date of Call Option Contract (Month/ Day/Year)		Number of Call Option Contracts Sold			Price Per Call ion Contract	Insert an "E" if Exercised Insert an "X" if Expired	Total Sale Price (excluding taxes, commissions, and fees)	
/ /	\$	/ /				\$				
/ /	\$	/ /		9		\$				
<b>16. ENDING HOLDINGS OF AEGEAN CALL OPTION CONTRACTS</b> – S Aegean Call Option held as of the close of trading on February 4, 2019. (Must be none, write "zero" or "0."										
Strike Price of Call Option Contract		Expiration Date of Option Contra (Month/Day/Ye		act		Number o		ontracts in Which You Had Interest		
\$		/ /								
\$		/ /								

# Case 1:18-cv-04993-NRB Document 453-6 Filed 09/14/23 Page 35 of 52

## SCHEDULE OF TRANSACTIONS IN AEGEAN PUT OPTIONS

	S ON AEGEAN COMMON STOCK – lose of trading on February 26, 2014. (Must				IF NONE, CHECK HERE			
Strike Price of Put Option Contract	Expiration Date of Put Option Contract (Month/Day/Year)		Number of Put Option Contracts in Which				You Had an	Open Interest
\$	/ /							
\$	/ /							
B. SALES (WRITING Aegean Put Option contracts that	racts from after	opening of tradir	ng on Februa	ry 27, 20	014, thro	ough and including	the expiration	n date of Aegean
Date of Sale (Writing) (Chronologically) (Month/Day/Year)	Strike Price of Put Option Contract	Expiration Date of Put Option Contract (Month/ Day/Year)	Number of Put Option Contracts Sold (Written)	Pe	e Price er Put ption ontract	Total Sale Price (excluding taxes, commissions, and fees)	Insert an "A" if Assigned Insert an "X" if Expired	Exercise Date (Month/ Day/ Year)
/ /	\$	/ /		\$		\$		/ /
/ /	\$	/ /		\$		\$		/ /
C. PURCHASES/ACQ purchase/acquisition (inc trading on February 27, contracts that you held a documented.)	cluding free rece 2014, through	eipts) of Aegean and including the	Put Option e expiration	contract	ts from a	after opening of gean Put Option		NE, CHECK HERE
Date of Purchase/ Acquisition (Chronologically) (Month/Day/Year)	Strike Price of Put Option Contract	Put Option Contract	Put Option Contract Conth/ Day/Year)  Ripiration Date of Put Co Put Ac		on Acquisition Price Per Put Option Contract		Insert an "A" if Assigned Insert an "X" if Expired	Total Purchase/ Acquisition Price (excluding taxes, commissions, and fees)
/ /	\$	/ /			\$			
/ /	\$	/ /			\$			
D. ENDING HOLDIN short position in as of the the expiration date. (Must	close of trading	g on February 4,	s Aegean Put 2019, in whi	Option ch you h	contraction and an op-	ts that you had a pen interest as of		NE, CHECK HERE
Strike Price of Put Option Contract		Expiration 1 Option ( (Month/D	Contract	N			Intracts in Which You Had an Interest	
\$		/	/					
\$		/ /						

# PART VI – RELEASE OF CLAIMS AND SIGNATURE

# YOU MUST ALSO READ THE RELEASE AND CERTIFICATION BELOW AND SIGN ON PAGE XX OF THIS CLAIM FORM.

I (we) hereby acknowledge that, as of the Effective Date of the Gianniotis Settlement, pursuant to the terms set forth in the Gianniotis Stipulation, I (we), on behalf of myself (ourselves) and my (our) heirs, executors, administrators, predecessors, successors, affiliates and assigns, in their capacities as such, shall be deemed to have, and by operation of law and of the Order and Final Judgment Regarding Gianniotis shall have, fully, finally and forever released, relinquished and discharged all Gianniotis Released Claims (as defined in the Gianniotis Stipulation and in the Detailed Notice) against Gianniotis and the Gianniotis Released Parties (as defined in the Gianniotis Stipulation and in the Detailed Notice), whether served or unserved with any complaint in the Action, and shall have covenanted not to sue the Gianniotis Released Parties with respect to any such Gianniotis Released Claims, and shall be permanently barred and enjoined from asserting, commencing, prosecuting, instituting, assisting, instigating or in any way participating in the commencement or prosecution of any action or other proceeding, in any forum, asserting any Gianniotis Released Claims, either directly, representatively, derivatively or in any other capacity, against any of the Gianniotis Released Parties.

I (we) hereby acknowledge that, as of the Effective Date of the Melissanidis Settlement, pursuant to the terms set forth in the Melissanidis Stipulation, I (we), on behalf of myself (ourselves) and my (our) heirs, executors, administrators, predecessors, successors, affiliates and assigns, in their capacities as such, shall be deemed to have, and by operation of law and of the Order and Final Judgment Regarding Melissanidis shall have, fully, finally and forever released, relinquished and discharged all Melissanidis Released Claims (as defined in the Melissanidis Stipulation and in the Detailed Notice) against Melissanidis and the Melissanidis Released Parties (as defined in the Melissanidis Stipulation and in the Detailed Notice), whether served or unserved with any complaint in the Action, and shall have covenanted not to sue the Melissanidis Released Parties with respect to any such Melissanidis Released Claims, and shall be permanently barred and enjoined from asserting, commencing, prosecuting, instituting, assisting, instigating or in any way participating in the commencement or prosecution of any action or other proceeding, in any forum, asserting any Melissanidis Released Claims, either directly, representatively, derivatively or in any other capacity, against any of the Melissanidis Released Parties.

#### **CERTIFICATION**

By signing and submitting this Claim Form, the Claimant(s) or the Person(s) who represent(s) the Claimant(s) certifies (certify), as follows:

- 1. that I (we) have read and understand the contents of the Detailed Notice and this Claim Form, including the releases provided for in the Individual Defendants Settlements and the terms of the Individual Defendants Plan of Allocation;
- 2. that the Claimant(s) is a (are) Settlement Class Member(s), as defined in the Detailed Notice and in paragraph 2 on page 3 of this Claim Form, and is (are) not excluded from the Settlement Class by definition or pursuant to request as set forth in the Detailed Notice and in paragraph 3 on page 3 of this Claim Form;
- 3. that I (we) own(ed) Aegean Securities and have not assigned the claim against either of the Individual Defendants, the Gianniotis Released Parties or the Melissanidis Released Parties to another or that, in signing and submitting this Claim Form, I (we) have the authority to act on behalf of the owner(s) thereof;
- 4. that the Claimant(s) has (have) not submitted any other Claim covering the same purchases/acquisitions of Aegean Securities and knows (know) of no other Person having done so on the Claimant's (Claimants') behalf;
- 5. that the Claimant(s) submit(s) to the jurisdiction of the Court with respect to Claimant's (Claimants') Claim and for purposes of enforcing the releases set forth herein;
- 6. that I (we) agree to furnish such additional information with respect to this Claim Form as Lead Counsel, the Claims Administrator or the Court may require;
- 7. that the Claimant(s) waive(s) the right to trial by jury, to the extent it exists, and agree(s) to the Court's summary disposition of the determination of the validity or amount of the Claim made by this Claim Form;
- 8. that I (we) acknowledge that the Claimant(s) will be bound by and subject to the terms of any judgment(s) that may be entered in the Action; and

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9. that the Claimant(s) is (are) NOT subject to backup withholding under the provisions of Section 3406(a)(1)(C) of the Internal Revenue Code because (a) the Claimant(s) is (are) exempt from backup withholding or (b) the Claimant(s) has (have) not been notified by the IRS that he/she/it is subject to backup withholding as a result of a failure to report all interest or dividends or (c) the IRS has notified the Claimant(s) that he/she/it is no longer subject to backup withholding. If the IRS has notified the Claimant(s) that he, she or it is subject to backup withholding, please strike out the language in the preceding sentence indicating that the Claim is not subject to backup withholding in the certification above.

UNDER THE PENALTIES OF PERJURY, I (WE) CERTIFY THAT ALL OF THE INFORMATION PROVIDED BY ME (US) ON THIS CLAIM FORM IS TRUE, CORRECT AND COMPLETE, AND THAT THE DOCUMENTS SUBMITTED HEREWITH ARE TRUE AND CORRECT COPIES OF WHAT THEY PURPORT TO BE.

Date	
Date	
leting this form, the following also must be provide	d:
Date	
	Date  Deteur of this form, the following also must be provide

Capacity of person signing on behalf of Claimant, if other than an individual, *e.g.*, executor, president, trustee, custodian, *etc.* (Must provide evidence of authority to act on behalf of Claimant – *see* paragraph 13 on page 4 of this Claim Form.)

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#### **REMINDER CHECKLIST:**

- 1. Please sign the above release and certification. If this Claim Form is being made on behalf of joint Claimants, then both must sign.
- 2. Remember to attach only **copies** of acceptable supporting documentation, as these documents will not be returned to you.
- 3. Please do not highlight any portion of the Claim Form or any supporting documents.
- 4. Do not send original security certificates or documentation. These items cannot be returned to you by the Claims Administrator.
- 5. Keep copies of the completed Claim Form and documentation for your own records.
- 6. The Claims Administrator will acknowledge receipt of your Claim Form by mail, within 60 days. Your Claim is not deemed filed until you receive an acknowledgement postcard. If you do not receive an acknowledgement postcard within 60 days, please call the Claims Administrator toll-free at 1-877-888-9760.
- 7. If your address changes in the future, or if this Claim Form was sent to an old or incorrect address, please send the Claims Administrator written notification of your new address. If you change your name, please inform the Claims Administrator.
- 8. If **you** have any questions or concerns regarding your Claim, please contact the Claims Administrator at the address below, by email at info@aegeansecuritieslitigation.com, toll-free at 1-877-888-9760, or visit <a href="www.aegeansecuritieslitigation.com">www.aegeansecuritieslitigation.com</a>.

Please DO NOT call the Individual Defendants or any of the other Defendants or their counsel with questions regarding your Claim.

### THIS CLAIM FORM MUST BE MAILED TO THE CLAIMS ADMINISTRATOR BY FIRST-CLASS MAIL, **POSTMARKED NO LATER THAN SEPTEMBER 20, 2023**, ADDRESSED AS FOLLOWS:

In re Aegean Marine Petroleum Network, Inc. Securities Litigation c/o A.B. Data, Ltd.
P.O. Box 173088
Milwaukee, WI 53217

A Claim Form received by the Claims Administrator shall be deemed to have been submitted when posted if a postmark date on or before September 20, 2023 is indicated on the envelope and it is mailed First-Class and addressed in accordance with the above instructions. In all other cases, a Claim Form shall be deemed to have been submitted when actually received by the Claims Administrator.

You should be aware that it will take a significant amount of time to fully process all of the Claim Forms. Please be patient and notify the Claims Administrator of any change of address.

In re Aegean Marine Petroleum Network, Inc. Securities Litigation c/o A.B. Data, Ltd. P.O. Box 173088 Milwaukee, WI 53217

### COURT-APPROVED NOTICE REGARDING In re Aegean Marine Petroleum Network, Inc. Securities Litigation

Aegean\_54525\_JE\_28NOT

**4 files attached to email**: Notice of Pendency, Claim Form, Electronic Filing Guidelines, Electronic Claim Filing Template

**Subject Line:** In re Aegean Marine Petroleum Network, Inc. Securities Litigation

#### Greetings:

Attached please find the Notice of Pendency, Claim Form, Electronic Claim Filing Guidelines, Electronic Claim Filing Template, *In re Aegean Marine Petroleum Network, Inc. Securities Litigation*, Case No. 1:18-cv-04993-(NRB) Hon. Naomi Reice Buchwald, pending in the United States District Court For The Southern District of New York. We provided for your convenience a copy of the Noice Packet, Electronic Claims Filing Guidelines and Electronic Claim Filing Template. The Notice Packet was mailed to the banks, brokers, and other nominees of potential Settlement Class Members on June 19, 2023.

Note: The Court has approved notice of the Individual Defendants Settlements in a shortened postcard format (the "Postcard Notice") to individuals previously identified as potential Settlement Class members of the Auditor Settlements.

If you hold any Aegean Securities purchased during the Settlement Class Period as nominee for a beneficial owner, then you must adhere to the following procedures, as applicable:

- If in the notice program implemented in connection with the Auditor Settlements (the "Original Notice Program"), you provided the Claims Administrator with names and addresses of such beneficial owners, you do not need to take any additional action.
- If in the Original Notice Program, you elected to obtain bulk copies of the settlement notice from the Claims Administrator to provide to such beneficial owners yourself, you must provide the Postcard Notice to all such clients within seven (7) calendar days of receipt from the Claims Administrator.
- If you did not have any potential members of the Auditor Settlement Classes among your
  clients, you should determine whether you have since acquired any new clients who may be
  potential members of Settlement Class and, if you did, either provide the names and addresses
  of such clients to the Claims Administrator or request bulk copies of the Postcard Notice. The
  Claims Administrator will also provide this Detailed Notice and/or the Claim Form to any Person
  or entity who requests one.

The Claims Administrator may be reached as follows:

In re Aegean Marine Petroleum Network, Inc. Securities Litigation
Claims Administrator
c/o A.B. Data, Ltd.
P.O. Box 173088
Milwaukee, WI 53217

If you choose to mail the Postcard Notice yourself, you may obtain from the Claims Administrator (without cost to you) as many additional copies of the Postcard Notice as you will need to complete the mailing. Regardless of whether you choose to complete the mailing yourself or elect to have the mailing performed for you, you may seek reimbursement of your reasonable expenses actually incurred in complying with these directions by providing the Claims Administrator with proper documentation supporting the expenses for which reimbursement is sought. Reasonable expenses shall not exceed \$0.10 per mailing record provided to the Claims Administrator; \$0.50 per unit for each Postcard Notice actually mailed, which

amount includes postage; and \$0.10 per Postcard Notice sent via email. Such properly documented expenses incurred by nominees in compliance with these directions shall be paid from the Settlement Fund, with any disputes as to the reasonableness or documentation of expenses incurred subject to review by the Court.

Copies of the Long Form Notice and Proof of Claim Form may also be available by calling the Claims Administrator toll-free at (877) 888-9760, or by emailing the Claims Administrator at <a href="mailto:info@AegeanSecuritiesLitigation.com">info@AegeanSecuritiesLitigation.com</a>. In addition, you may download the Long-Form Notice, Proof of Claim, Electronic Claims Filing Guidelines and Electronic Claims Filing Template from the settlement website, <a href="www.AegeionSecuritiesLitigation.com">www.AegeionSecuritiesLitigation.com</a>, where you also can view other documents relating to the proposed Settlement.

Mailing labels, data files, requests for additional Notices and requests for reimbursement of expenses incurred (include supporting documentation) may also be sent to:

or

In re Aegean Securities Litigation c/o A.B. DATA, LTD. ATTENTION: FULFILLMENT DEPARTMENT DEPARTMENT 3410 WEST HOPKINS STREET MILWAUKEE, WI 53216

In re Aegean Securities Litigation c/o A.B. DATA, LTD.
ATTENTION: FULFILLMENT

PO BOX 173088 MILWAUKEE, WI 53217

If you wish to be removed from this e-list, please reply to this e-mail and write "Please Remove" in the subject line.

A.B. Data Claims Administrator

## EXHIBIT C

A Global Eq +14+11 +5 36 Mo YTD 12Wk 5 Yr

8.77 0.10

| Chg | Chg | Tax Rtn | Value | Chg

MUI	JAL FUND	PERFORM	ANCE	WEEK OF JUNE 19, 2023 ATT					
YTD 12Wk 5 Yr Net ce % % After Asset NAV und   Chg   Chg   Tax Rtn   Value   Chg	36 Mo YTD 12WK 5 Yr Net Performance % % After Asset NAV Rating   Fund     Chg   Chg   (Tax Rtn   Value   Chg	36 Mo YTD 12Wk 5 Yr Net Performance % % After Asset NAV Rating   Fund   Chg   Chg   Tax Rtn   Value   Chg	36 Mo	36 Mo YTD 12WK 5 Yr Net Performance % % After Asset NAV Rating   Fund   Chg   Chg   Tax Rtn   Value   Chg	36 Mo YTD 12Wk 5 Yr Net Performance % % After Asset NAV Rating   Fund   Chg   Chg   Tax Rtn   Value   Chg				
ap Val +4 +5 +1 18.71n 0.14	C+ Flt Rate +8 +4 +2 9.28 0.00	Principal Funds A	A LCV III +2 +7 +5 17.32 0.20	<b>A+ UltraNASDAQ</b> +84+43+16 69.33n 1.60	\$ 775 mil 888-261-4073				
< ldx +16+13 +8 47.22n 0.57	C- HiYld +7 +5 +1 7.52 0.00	\$ 56.1 bil 800-222-5852	C LT 2020 +7 +5 +3 12.00 0.09	Putnam Funds Class A	A BP SCV2 +5 +4 +2 26.37 0.20				
Funds A	C ILC Cor Gr +34+20 +6 55.74 0.73	<b>A</b> Cap App +13+11 +7 60.62 0.73	B- LT 2030 +9 +6 +3 13.26 0.12	\$ 37.3 bil 800-225-1581	Royce Funds				
I 800-257-8787	B- I MCEq Gr +12 +8 +6 62.58 0.67	B- MidCap +13+11 +7 33.78 0.43	B LT 2040 +11 +8 +4 14.87 0.15	B D AAG +12 +9 +3 17.49 0.18	\$ 4.8 bil 800-221-4268				
m Muni +4 +2 +1 10.08 0.01	B ISC Stk +9 +8 +5 26.06 0.47	C+ SAM Bal +9 +7 +2 14.60 0.13	<b>B+</b> LT 2050 +12+10 +4 15.50 0.17	<b>B+</b> GrowthOppty+30+19 +9 49.48 0.62	A+ PA Mut +14 +9 +4 8.67n 0.07				
alue +3 +7 +2 13.36 0.21	B- LgCp Gro +30+18 +8 59.54 0.77	B SAM Csv G +11 +9 +3 16.82 0.17	<b>A+</b> MCV I +3 +5 +5 15.88 0.17	A LargeCpVal +7 +8 +6 29.83 0.40	A- Premier +13 +6 +4 11.32n 0.08				
Funds I	A LgCp Val +2 +6 +5 22.55 0.27	Principal Funds Inst	C Real Est +5 +4 +4 26.33 0.10	A Research +17+14 +7 40.95 0.50	A+ SC Oppty +11 +8 +5 14.69n 0.14				
I 800-257-8787	PRIMECAP Odyssey Fds	\$ 56.1 bil 800-222-5852	A SC S&P600 +5 +4 +2 24.58 0.22	<b>B+</b> Sstnbl Ldrs +14+12 +7 101.11 1.09	A SC Spec Eq +7 +4 +4 17.00n 0.02				
uni +6 +3 +3 15.16 0.02	\$ 19.1 bil 800-729-2307	C Hi In +8 +5 +1 8.03 0.01	<b>A-</b> SmallCap +10 +7 +3 26.63 0.16	Putnam Funds Class Y	A+ SC Tot Ret +9 +9 +3 7.10n 0.05				
ırMuni +3 +1 +2 8.74 0.01	B OdysseyAgGr+18+11 +3 42.94n 0.36	D Infl Prt +2 +1 +1 7.86 0.04	D+ Sp Prf SI +1 +3 0 8.54 -0.02	\$ 20.2 bil 800-225-1581	Russell Funds S				

+27+17 +8 16.62 0.23 \$1.6 bil 888-776-3637 YTD 12Wk 5 Yr Net 36 Mo YTD 12

Rating | Fund

YTD 12Wk 5 Yr Net % % After Asset NAV

| Chg | Chg | Tax Rtn | Value | Chg

#### UNITED STATES DISTRICT COURT SOUTHERN DISTRICT OF NEW YORK

YTD 12Wk 5 Yr Net

| Chg | Chg | Tax Rtn | Value | Chg

IN RE WELLS FARGO & COMPANY SECURITIES LITIGATION

A OdysseyGrow+14+12 +5 38.36n 0.42 | A LC S&P500 +16+12 +8 22.36 0.27 | ProFunds Inv Class

B LCG I 36 Mo

Rating | Fund

A+ OdysseyStoc+12+13 +7 35.75n 0.42 36 Mo YTD 12Wk 5 Yr Net

| Chg | Chg | Tax Rtn | Value | Chg

SUMMARY NOTICE OF (I) PENDENCY OF CLASS ACTION AND PROPOSED SETTLEMENT; (II) SETTLEMENT FAIRNESS HEARING; AND (III) MOTION FOR ATTORNEYS' FEES AND LITIGATION EXPENSES

TO: All persons or entities who purchased or otherwise acquired the common stock of Wells Fargo & Company during the period from February 2, 2018 through March 12, 2020, inclusive (the "Class Period"), and were damaged thereby (the "Settlement Class"). PLEASE READ THIS NOTICE CAREFULLY, YOUR RIGHTS WILL BE AFFECTED BY A CLASS ACTION LAWSUIT PENDING IN THIS COURT

YOU ARE HEREBY NOTIFIED, pursuant to Rule 23 of the exclusion such that it is received no later than August 18, 2023, in above-captioned securities class action (the "Action") is pending in

YOU ARE ALSO NOTIFIED that Lead Plaintiffs in the Action have reached a proposed settlement of the Action for \$1,000,000,000 in cash (the "Settlement"), that, if approved, will resolve all claims in the Action.

A hearing will be held on September 8, 2023 at 10:00 a.m., before the Honorable Gregory H. Woods either in person at the U.S. District Court for the Southern District of New York, Daniel Patrick Moynihan U.S. Courthouse, Courtroom 12C, 500 Pearl Street, New York, NY 10007-1312, or by telephone or videoconference, to determine (i) whether the proposed Settlement should be approved as fair, reasonable, and adequate; (ii) whether, for purposes of the proposed Settlement only, the Action should be certified as a class action on behalf of the Settlement Class, Lead Plaintiffs should be certified as Class Representatives for the Settlement Class, and Lead Counsel should be appointed as Class Counsel for the Settlement Class; (iii) whether the Action should be dismissed with prejudice against Defendants, and the Releases specified and described in the Stipulation and Agreement of Settlement dated May 8, 2023 (and in the Notice) should be granted; (iv) whether the proposed Plan of Allocation should be approved as fair and reasonable; and (v) whether Lead Counsel's application for an award of attorneys' fees and expenses should be approved.

If you are a member of the Settlement Class, your rights will be affected by the pending Action and the Settlement, and you may be entitled to a payment from the Settlement. If you have not vet received the Notice and Claim Form, you may obtain copies of these documents by contacting the Claims Administrator at *Wells Fargo Securities Litigation*, c/o Epiq Class Action and Claims Solutions, Inc., P.O. Box 5430, Portland, OR 97228-5430; (888) 301-4209; or info@WellsFargoSecuritiesClassAction.com. Copies of the Notice and Claim Form can also be downloaded from the Settlement website, www.WellsFargoSecuritiesClassAction.com.

If you are a member of the Settlement Class, in order to be eligible to receive a payment from the Settlement, you must submit a Claim Form *postmarked* (or submitted online) no later than October 5, 2023. If you are a Settlement Class Member and do not submit a proper Claim Form, you will not be eligible to receive a payment from the Settlement but you will nevertheless be bound by any judgments or orders entered by the Court in the Action.

If you are a member of the Settlement Class and wish to exclude yourself from the Settlement Class, you must submit a request for

Federal Rules of Civil Procedure and an Order of the U.S. District accordance with the instructions set forth in the Notice. If you properly court for the Southern District of New York (the "Court"), that the exclude yourself from the Settlement Class, you will not be bound by any judgments or orders entered by the Court in the Action and you will not be eligible to receive a payment from the Settlement. Excluding yourself is the only option that may allow you to be part of any other current or future lawsuit against Defendants or any of the other released parties concerning the claims being resolved by the Settlement.

D UltShtDurl +4 +2 +1 10.03 0.00 \$ 14.5 bil 800-787-7354

YTD 12Wk 5 Yr Net

| Chg | Chg | Tax Rtn | Value | Chg

RBB Fund

Rating | Fund

Case No. 1:20-cy-04494-GHW-SN

Any objections to the proposed Settlement, the proposed Plan of Allocation, or Lead Counsel's motion for attorneys' fees and litigation expenses, must be filed with the Court and delivered to Lead Counsel and Representative Defendants' Counsel such that they are received no later than August 18, 2023, in accordance with the instructions set forth in the Notice.

Please do not contact the Court, the Clerk's office, Defendants, or their counsel regarding this notice. All questions about this notice, the proposed Settlement, or your eligibility to participate in the Settlement should be directed to the Claims Administrator or Lead Counsel.

Wells Fargo Securities Litigation c/o Epiq Class Action and Claims Solutions, Inc. P.O. Box 5430 Portland, OR 97228-5430 (888) 301-4209

Requests for the Notice and Claim Form should be made to:

info@WellsFargoSecuritiesClassAction.com www.WellsFargoSecuritiesClassAction.com Inquiries other than requests for the Notice and Claim Form should be made to Lead Counsel:

Cohen Milstein Sellers & Toll PLLC Attn: Laura H. Posner 88 Pine St., 14th Floor New York, NY 10005 Tel.: (212) 220-2925 Fax: (212) 838-7745 Email: lposner@cohenmilstein.com Bernstein Litowitz Berger & Grossmann LLP

Attn: John C. Browne 1251 Avenue of the Americas New York, NY 10020 Tel.: (212) 554-1400 Fax: (212) 554-1444 Email: settlements@blbglaw.com

By Order of the Court

1 Certain persons and entities are excluded from the Settlement Class by definition as set forth in the full Notice of (I) Pendency of Class Action and Proposed Settlement; (II) Settlement Fairness Hearing; and (III) Motion for Attorneys' Fees and Litigation Expenses (the "Notice"), available at www.WellsFargoSecuritiesClassAction.com.

#### UNITED STATES DISTRICT COURT SOUTHERN DISTRICT OF NEW YORK

IN RE AEGEAN MARINE PETROLEUM NETWORK, INC. SECURITIES LITIGATION Case No. 1:18-cv-04993 (NRB)

Hon. Naomi Reice Buchwald

DEFENDANTS SETTLEMENTS; AND (II) FINAL APPROVAL HEARING FOR THE INDIVIDUAL DEFENDANTS SETTLEMENTS, THE INDIVIDUAL DEFENDANTS PLAN OF ALLOCATION AND MOTION FOR APPROVAL OF ATTORNEYS' FEES AND REIMBURSEMENT OF LITIGATION EXPENSES

SUMMARY NOTICE OF (I) PENDENCY OF CLASS ACTION AND PROPOSED INDIVIDUAL

TO: All Persons who purchased or otherwise acquired Aegean Marine Petroleum Network, Inc. ("Aegean") securities or sold Aegean put options between February 27, 2014 through November 5, 2018, inclusive (the "Settlement Class Period"), and were allegedly damaged thereby.

The securities subject to these proposed settlements consist of: (a) the common stock of Aegean (Tickers: ANWWQ; CINS: Y0017S102) (pre-bankruptcy Aegean traded under the ticker "ANW"); (b) Aegean 4.00% Convertible Unsecured Senior Notes due 11/1/2018, issued 10/23/2013 (CUSIP: Y0020QAA9; SIN: USY0020QAA95); (c) Aegean 4.25% Convertible Unsecured Senior Notes due 12/15/2021 12/19/2016 (CUSIP: 00773VAA4 (CUSIP changed to 00773VAB2 on 2/12/2018); ISIN: US00773VAB27); (d) Aegean call options; and (e) Aegean put options (collectively, "Aegean Securities").

Please read this notice carefully. Your rights may be affected file a Claim Form, you will still be bound by the releases set forth by two proposed partial settlements, which are the third and fourth proposed partial settlements of a class action lawsuit pending in this court. Please do not contact the court, any defendant, or their counsel, regarding this notice. All questions about this notice, these proposed settlements, or your eligibility to participate in these proposed settlements should be directed to lead counsel or the claims administrator, whose contact information is provided below. Additional information about the proposed settlements is available on the Settlement Website: www.AegeanSecuritiesLitigation.com.

YOU ARE HEREBY NOTIFIED, that Utah Retirement Systems ("Lead Plaintiff"), on behalf of itself and the proposed Settlement Class, has reached two additional proposed settlements (one with Spyros Gianniotis ("Gianniotis") for \$11 million in cash and one with Dimitris Melissanidis ("Melissanidis") for \$949,999 in cash) that will, among other things, resolve all claims against the two remaining Defendants in this Action, Gianniotis and Melissanidis (the "Individual Defendants") (the "Individual Defendants Settlements") approved. The Court previously approved settlements with the outside auditors (the "Auditor Settlements").

YOU ARE ALSO NOTIFIED, that pursuant to Rule 23 of the Federal Rules of Civil Procedure and an Order of the Court, a Settlement Class in the above-captioned litigation (the "Action") has been preliminarily certified for the purposes of these proposed Individual Defendants Settlements only

A hearing (the "Final Approval Hearing") will be held before the Honorable Naomi Reice Buchwald, United States District Judge for the United States District Court for the Southern District of New York, either telephonically, via video conference, or at 500 Pearl Street, Courtroom 21-A, New York, New York, 10007 on Thursday, October 19, 2023 at 11:00 AM to, among other things, determine whether: (i) the proposed Individual Defendants Settlements should be approved by the Court as fair, reasonable and adequate; (ii) the Action should be dismissed with prejudice against Gianniotis, final judgment should be entered as to the claims against Gianniotis and the Gianniotis Released Claims should be released as against the Gianniotis Released Parties, as set forth in the Stipulation and Agreement of Settlement with Spyros Gianniotis ("Gianniotis Stipulation"); (iii) the Action should be dismissed with prejudice against Melissanidis, final judgment should be entered as to the claims against Melissanidis and the Melissanidis Released Claims should be released as against the Melissanidis Released Parties, as set forth in the Stipulation and Agreement of Settlement with Dimitris Melissanidis ("Melissanidis Stipulation"); (iv) the proposed Individual Defendants Plan of Allocation for distribution of the Individual Defendants Settlement Funds and any interest earned thereon, less Taxes, Notice and Administration Costs, Litigation Expenses awarded by the Court, attorneys' fees awarded by the Court, and any other costs, expenses, or amounts as may be approved by the Court (the "Net Settlement Fund") should be approved as fair and reasonable; and (v) whether Lead Counsel's application for attorneys' fees and reimbursement of Litigation Expenses should be approved by the Court. The Court may change the date of the Final Approval Hearing without providing another notice. You do NOT need to attend the Final Approval Hearing in order to receive a distribution from the Gianniotis Net Settlement Fund and/or the Melissanidis Net Settlement Fund.

You may be a member of the Settlement Class if you purchased or acquired Aegean Securities between February 27, 2014 And November 5, 2018. If you are a Settlement Class Member, you may seek to participate to share in the Individual Defendants Settlements by submitting a Proof of Claim and Release Form ("Claim Form") to the Claims Administrator at the address below. If you are a Settlement Class Member but do not

in the Gianniotis Stipulation if the Court enters an order approving the Gianniotis Settlement and/or the releases set forth in the Melissanidis Stipulation if the Court enters an order approving the Melissanidis Settlement.

ANY CLAIM FORMS ALREADY SUBMITTED IN THE AUDITOR SETTLEMENTS WILL AUTOMATICALLY CONSIDERED FOR RECOVERY IN THE INDIVIDUAL DEFENDANTS SETTLEMENTS AND DO NOT NEED TO BE RE-SUBMITTED. The full notice, entitled the Notice of (I) Pendency of Class Action and Proposed Individual Defendants Settlements; and (II) Final Approval Hearing For The Individual Defendants Settlements, The Individual Defendants Plan of Allocation and Motion For Approval of Attorneys' Fees and Reimbursement of Litigation Expenses ("Detailed Notice"), and the Claim Form, are each available on the Settlement Website www.AegeanSecuritiesLitigation.com, or by contacting the Claims Administrator:

In re Aegean Marine Petroleum Network, Inc. Securities Litigation Claims Administrator c/o A.B. Data, Ltd. P.O. Box 173088 Milwaukee, WI 53217 1-877-888-9760 (Toll-Free)

Please refer to the Settlement Website for more detailed information and to review the documents pertaining to the proposed Individual Defendants Settlements. Inquiries may also be made to Lead Counsel:

> Nicole Lavallee BERMAN TABACCO 425 California Street, Ste. 2300 San Francisco, CA 94104 Telephone: (415) 433-3200 law@bermantabacco.com

If you are a potential Settlement Class Member, but wish to exclude yourself from the Settlement Class, you must submit a written request for exclusion in accordance with the instructions set forth in the Detailed Notice, which can also be found on the Settlement Website, *postmarked no later than September 28*, 2023. If you are a potential Settlement Class Member and do not timely exclude yourself from the Settlement Class, you will be bound by any judgments or orders entered by the Court in the Action. Note: The deadline to submit a request for exclusion to the Auditor Settlements has passed. Any new requests for exclusion will only apply to the Individual Defendants Settlements.

Any objections to the proposed Individual Defendants Settlements, the Individual Defendants Plan of Allocation, and/or Lead Counsel's application for attorneys' fees and reimbursement of Litigation Expenses must be submitted to the Court in accordance with the instructions set forth in the Detailed Notice, received no later than September 28, 2023 and filed with the Court *no later than September 28, 2023*. Note: The deadline to object to the Auditor Settlements has passed. Any new objections will only apply to Gianniotis's or Melissanidis's Settlements.

DATED: June 1, 2023

THE HONORABLE NAOMI REICE BUCHWALD District Judge, United States District Court for the Southern District of New York

36 Mo YTD 12Wk 5 Yr Net	36 Mo YTD 12Wk 5 Yr Net	MUTU  36 Mo YTD 12WK 5 Yr Net
Performance % % After Asset NAV Rating   Fund   Chg   Chg   Tax Rtn   Value   Chg	Performance % % After Asset NAV Rating   Fund   Chg   Chg   Tax Rtn   Value   Chg	Performance % % After Asset NAV Rating   Fund   Chg   Chg   Tax Rtn   Value   Chg
\$ 1.0 bil 888-278-5809 <b>A-</b> Lg Cap Core +13+11 +7 25.43n 0.31 <b>GuideStone Funds</b>	D- Sh Dur Bd +3 +1 +1 10.53 0.02 A+ SmCp Val +3 +4 +2 24.85 0.15 A+ US Res EnEq +17+13 +9 34.11 0.43	A Sm Cap Val +4 +5 +1 18.71n 0.14   A Stock ldx +16+13 +8 47.22n 0.57   Nuveen Funds A
\$ 14.7 bil 888-473-8637 <b>A-</b> Eqty ldx +16+12 +8 46.51 0.58	Kinetics Funds \$ 1.0 bil 800-930-3828	\$ 15.8 bil 800-257-8787 E All-Am Muni +4 +2 +1 10.08 0.01
E MD Bd +4 +1 0 12.72 0.07 <b>A</b> SC Eqty +6 +5 +3 16.27 0.13	<b>A- Paradigm</b> -28-10 +5 67.01n 1.09 <b>A+</b> SC Oppty -23 -8 +8 100.75n 1.24	A- Div Value +3 +7 +2 13.36 0.21 Nuveen Funds I
<b>A</b> Val Eqty +4 +7 +4 17.66 0.19 <b>Harbor Funds</b>	<b>Laudus Funds</b> \$ 1.9 bil 800-447-3332	\$ 10.1 bil 800-257-8787 C- HY Muni +6 +3 +3 15.16 0.02
\$ 22.5 bil 800-422-1050 <b>B+</b> Cap Apprec +37+22 +9 85.88 0.93 B Internat! +13+10 +1 44.28 0.38	B- SelectLgCap+33+19 +7 22.56n 0.24 <b>Lazard Instl</b> \$17.7 bil 800-823-6300	D- IntDurMuni +3 +1 +2 8.74 0.01 D- LtdTrmMuni +2 +1 +2 10.74 0.00 A+ MidCoValue +6 +7 +4 49.75 0.49
B InternatI +13+10 +1 44.28 0.38 A+ Mid Cap Val +5 +7 +2 23.42 0.23 A- Sm Cap Val +8 +4 +3 38.60 0.16	B- Emg Mkt Eq +16+12	A+ MidCpValue +6 +7 +4 49.75 0.49 A SmCapValue +2 +4 0 26.68 0.24 Oakmark Funds Invest
Harding Loevner \$ 13.6 bil 877-435-8105	C+ Int Str Eq +14+11 +2 15.24 0.18  Legg Mason I	\$ 21.1 bil 800-625-6275 <b>B+</b> Eqty & Inc +8 +8 +4 31.91n 0.31
C+  E +13+10 +2 26.40 0.24 <b>Hartford Funds A</b>	\$ 4.3 bil 877-721-1926 C- Intl Gro +14 +9 +4 61.40 0.73	<b>A+</b> Fund +17+13 +7 120.12n 1.40 <b>A</b> Global +16+12 +3 33.34n 0.36
\$ 30.0 bil 888-843-7824 <b>B+</b> Cap Appr +12+10 +4 36.81 0.45	A+ Value Trust +9+11 +7 117.11 1.56  Longleaf Partners	<b>A-</b> Internat! +20+12 +1 27.54n 0.23 <b>A-</b> Intl SC +13+11 +3 18.76n 0.18
B Core Equity +12+10 +7 43.33 0.53 A Div & Gro +6 +9 +6 31.00 0.38 A Equity Inc +2 +6 +5 20.31 0.25	\$ 3.2 bil 800-445-9469 <b>A-</b> Partners +16+10 +1 21.12n 0.17 <b>Loomis Sayles Fds</b>	<b>A</b> Select +25+20 +5 61.62n 0.68 <b>Olstein</b> \$ 297 mil 800-799-2113
B Growth Opps+29+17 +5 38.51 0.47  A MidCap Val +7 +6 +3 15.67 0.12	\$ 8.8 bil 800-633-3330 D Bond +4 +2 0 11.43 0.05	A- All Cap Val +8 +5 +5 25.73 0.27  Optimum Funds Inst
C+ MidCap +8 +6 +2 24.51 0.22 <b>Hartford Funds I</b>	Lord Abbett A \$ 40.4 bil 888-522-2388	\$7.8 bil 800-914-0278 E Fxd Inc +3 +1 0 8.20 0.04
\$ 24.8 bil 888-843-7824 C- Bal Income +3 +4 +3 13.93 0.11	B Affilted +1 +6 +3 15.53 0.18 B+ Div Gro +8 +8 +6 18.17 0.22	B- Lg Cp Gro +29+17 +7 20.42 0.22 A- Lg Cp Val +3 +7 +6 19.23 0.24
A Intl Value +12 +9 +2 16.91 0.09 D+ Schr EM E +11+11 0 16.12 0.17	A- Fund Eq +4 +7 +4 12.37 0.17 D HI Muni +5 +2 +1 10.61 0.01	<b>A</b> S-M Cap Gro+11 +8 +5 12.15 0.06 <b>A</b> - S-M Cap Val +1 +5 +1 13.12 0.11
B- SchrIntlStk +15+10 +5 16.59 0.16 A- SchrUSMCO +6 +5 +5 17.46 0.16 Heartland Funds	D- Int TXFr +3 +1 +1 10.09 0.00 C+ MA Bal +6 +5 +3 10.45 0.08 A- MdCp Stk +5 +7 +2 29.57 0.32	<b>Osterweis Strat Income</b> \$ 4.8 bil 866-236-0050 C- StratIncome +4 +2 +2 10.54n -0.17
\$ 1.3 bil 800-432-7856 <b>A+</b> MdCp Val +7 +8 +6 13.26n 0.11	D- Natl TF +5 +2 +1 10.35 0.01	-P-Q-R-
A Value + +1 +1 +4 37.06n 0.29 A Value +6 +5 +3 42.84n 0.31	\$ 46.7 bil 888-522-2388 D+ Bond Deb +3 +3 +1 6.91 0.02	<b>PACE Funds CI P</b> \$ 4.5 bil 800-647-1568
<b>Hennessy Funds</b> \$ 2.5 bil 800-966-4354	C+ Flt Rte +8 +5 +1 7.99 0.00 C- High Yld +6 +4 0 6.18 0.00	<b>A+</b> Lg Co Gr +23+17 +8 14.65 0.21 <b>A+</b> Lg Co VI +7 +7 +4 19.00 0.23
<b>A+</b> Crnst MdCp +14 +9 +6 19.02 0.09 <b>A</b> Crnst Val +1 +6 +4 19.13 0.24	D Sh Dur +3 +1 +1 3.82 0.01  -M-N-O-	A S/M VI +2 +4 +3 17.45 0.17
Hillman \$ 202 mil 800-773-3863 A Hillman/Valu 15 10	MainStay Fds A \$ 8.3 bil 800-624-6782	<b>Parnassus Fds</b> \$12.6 bil 800-999-3505 <b>A</b> Core Eqty +15+11 +9 54.05n 0.74
A HillmanValu+15+10 +8 29.47n 0.25 <b>Homestead Funds</b> \$ 2.1 bil 800-258-3030	\$ 8.3 DII 800-624-6782 C- MK HY CB +6 +4 +1 5.03 0.01 <b>A</b> WMC End C +5 +7 +6 30.97 0.38	Pear Tree \$4.5 bil 800-326-2151
\$ 2.1 bil 800-258-3030 <b>A</b> Sm-Co Stock +8 +5 +1 24.43n 0.24 <b>A-</b> Stock Index +16+12 +8 32.30n 0.40	<b>A+</b> WMC Val +1 +5 +6 27.12 0.36 <b>A-</b> Wnslw LCG +25+17 +7 8.74 0.09	B- Foreign V +12 +9 0 21.82 0.04 <b>Perm Port Funds</b>
A Value +3 +7 +6 47.58n 0.56  Hotchkis and Wiley	MainStay Fds I \$ 3.1 bil 800-624-6782	\$ 3.0 bil 800-531-5142 C+ Perm +5 +5 +5 48.51n 0.29
\$ 2.7 bil 866-493-8637 <b>A+ Lg Cap Val</b> +6 +7 +5 41.11 0.66	A S&P500 ldx +16+12 +8 50.82 0.61 Mairs&Power	<b>PGIM Funds A</b> \$ 16.8 bil 800-225-1852
<b>A+ Mid Cap Val</b> +4 +9 +3 46.49 0.75 <b>A+</b> Sm Cap Val +7 +8 +4 70.01 0.67	\$5.7 bil 800-304-7404 <b>A-</b> &PowerGrowt+17+12+8 138.23n 1.69	C- High Yield +6 +4 +1 4.60 0.01 E Tot Rtn Bnd +4 +2 -1.0 11.90 0.06
<b>A+</b> Value Opps +13 +9 +6 35.76 0.53 <b>IFP US Equity Fund</b>	A- Sml Cap +4 +4 +4 27.56n 0.26 Marsico Funds	PGIM Jenn Funds A \$16.8 bil 800-225-1852 A- John Pland (16.12 ) 4 (20.98 0.20)
\$ 1.8 bil 855-233-0437 <b>A FranchPrtnr</b> +17+13 +9 19.27 0.31	\$ 1.2 bil 888-860-8686 <b>A-</b> Foc +32+21 +9 20.51n 0.21 <b>A-</b> Grow +30+19 +8 18.40n 0.19	A Jenn Blend +16+12 +4 20.98 0.20 A JennDvsfdGr+32+18 +7 14.65 0.15 B Jenn Growth+37+22 +8 49.20 0.53
Invesco Funds A \$ 115 bil 800-959-4246 B- ActiveAlloc +8 +6 +2 12.88 0.11	A- Grow +30+19 +8 18.40n 0.19   Mass Mutual   \$3.1 bil 888-309-3539	A- JennMid-Cap+13+10 +6 14.11 0.14
B+ Cap Appr +24+17 +7 58.67 0.69 A- Charter +16+12 +5 17.06 0.20	B+ BI Ch +37+21 +8 22.24 0.30  MassMutual Select	A+ JennNtrlRes -4 +8 +2 51.12 0.71 A+ JennSmallCo +6 +5 +3 17.98 0.14
A+ ComstockSlc +7 +9 +5 32.42 0.48 A+ Comstock +4 +7 +5 27.26 0.38	\$ 12.4 bil 888-309-3539 <b>A</b> Eq Op +4 +6 +7 16.83 0.22	B- JennUtility -2 +2 +5 14.35 0.14 <b>A-</b> Jenn Value +5 +8 +4 19.78 0.23
C DiscvryMCG +8 +7 +5 22.54 0.18 B Div Inc +2 +6 +4 24.54 0.31	<b>A+</b> Fnd V +2 +6 +5 8.47 0.09 B MCG +12 +8 +6 19.53 0.20	<b>PGIM Quant Funds A</b> \$ 16.8 bil 800-225-1852
<b>A-</b> Dvsfd Div +3 +6 +4 17.87 0.23 <b>A+</b> Energy -6 +4 0 26.80 0.32	<b>A-</b> Oseas +17+11 +3 8.78 0.09 <b>A+</b> S&P500 +16+12 +8 15.10 0.19	A Quant LCC +15+13 +5 17.56 0.22  PIMCO Fds Inst!
<b>B+</b> Eq & Income +3 +5 +3 10.05 0.09 <b>A</b> Eq-Wtd 500 +6 +7 +5 67.56 0.77	Matthews Asian Funds   \$3.9 bil 800-789-2742   A+ India	\$ 145 bil 800-927-4648 B All Asset +5 +4 +2 10.85 0.06 B All Ast Ath +4 +2 0 6.45 0.04
B Global Fd +25+15 +3 92.67 0.99 E Global Opp +19+11-2.0 51.35 0.08	<b>A+</b> India +8+13 +2 23.95n 0.10 <b>Meridian Funds</b> \$1.5 bil 800-446-6662	B All Ast Ath +4 +2 0 6.45 0.04 <b>A+ Comm RR Str</b> -4 +2 +1 13.10 0.33 D- Div Income +4 +3 0 9.17 0.02
A+ Gr & Income +4 +7 +3 21.14 0.27 D- GS Inc +5 +5 -2.0 3.11 0.01 D+ HY Mun +5 +2 +1 8.63 0.02	<b>A+</b> Contrarian +11 +9 +5 37.95n 0.28 <b>Metropolitan West</b>	D- Em Mkts Bd +5 +3 -1.0 8.00 0.01 C- High Yield +7 +4 +1 7.69 0.02
A Main SAC +18+14 +7 22.23 0.27 A- Main St MC +8 +8 +3 25.28 0.25	\$ 68.7 bil 800-241-4671 D- LowDurBond +3 +1 +1 8.21 0.02	E IntlBd(DH) +4 +1 0 9.46 -0.02 E Lng-TmCrBd +6 +2 0 8.94 0.07
<b>A-</b> Main Street +17+13 +6 50.72 0.55 D Muni Income +4 +2 +1 11.90 0.01	E Total Rtn +4 +1 0 9.14 0.06 D+ Uncons Bd +5 +3 0 10.31 0.03	E Long Dur TR +6 +1 0 7.36 0.06 E Low Dur +2 +1 0 9.05 0.01
<b>A</b> Rising Div +12+10 +6 23.55 0.30 D+ RO Muni Opp +6 +3 +3 6.85 0.01	MFS Funds A \$ 54.4 bil 800-225-2606	D+ MtgOpp&Bd +5 +3 0 9.44 0.06 <b>A+</b> RE RI Rtn +4 +4 +3 23.24 0.18
D Ro NY Mun +6 +3 +2 15.07 0.02 <b>B+</b> S&P 500 ldx +16+12 +7 46.89 0.57	B AggrGrAlloc +9 +9 +4 27.14 0.27 <b>B+</b> Core Equity +13+11 +7 42.91 0.52	D+ Real Return +3 +2 +1 10.05 0.06  A S+ Intl(DH) +17+11 +4 8.22 -0.04  D Short Torm +4 +2 +1 0.51 0.00
A+ SC Value +5+10 +5 18.30 0.20 B- Senior Flt +7 +5 -1.0 6.59 0.00	E Corp Bond +5 +2 0 12.10 0.07 C IntlintrVal +14 +9 +3 40.40 0.24 A MassinvGro +16+12 +9 38.29 0.59	D Short-Term +4 +2 +1 9.51 0.00 D ShtAsstInv +4 +2 +1 9.87 0.00 A Stk+Small +8 +7 0 6.94 0.06
C SIRskModIn +7 +5 +1 10.28 0.07 A Sm Cap Eqty +9 +7 +2 12.64 0.10 A+ SP MLP AI +8 +8 +1 5.80 0.07	A- Mass Inv Tr +11+10 +6 35.35 0.47 D MuniHighlnc +4 +2 +1 7.26 0.01	A+ Stk+Abs Rtn+16+12 +7 10.37 0.13 A StocksPLUS +17+13 +7 10.82 0.13
A+ SP MLP In +10 +8 +3 4.75 0.04 Invesco Funds P	D- Muni Income +4 +1 +1 8.06 0.01 E TotRetBond +4 +2 0 9.43 0.05	E Tot Rtn ESG +3 +1 0 7.58 0.04 E Tot Rtn +4 +1 0 8.55 0.05
\$ 2.2 bil 800-959-4246 B- Summit +27+19 +7 21.03n 0.25	C+ TotalReturn +5 +6 +3 19.04 0.19 B Utilities +0 +3 +5 22.48 0.19	<b>B+</b> TRENDS MFS -3 +1 +6 10.88 -0.12 <b>PIMCO Funds A</b>
<b>Invesco Funds Y</b> \$ 30.7 bil 800-959-4246	MFS Funds I \$53.2 bil 800-225-2606	\$ 31.1 bil 800-927-4648 <b>A+</b> RAE PLUS +4 +6 +3 15.13 0.23
D Dev Mkt +15 +7 -1.0 40.11 0.16 C+ Discovery +11 +8 +6 97.90 0.37	C+ Growth +23+16 +9 163.53 2.02 B Intl Equity +15+11 +5 32.41 0.26 C- MidCapGrowt+11+8 +7 26.51 0.21	<b>PIMCO Funds 12</b>   \$ 61.8 bil 888-877-4626   E   Inv Grd Cr
C- Intl SM Co +12 +9 +2 43.45 0.20 C+ OppenItlGro +17+12 +2 38.66 0.41 A+ SP MLP SI +10 +8 +3 6.96 0.06	A MidCapValue +4 +8 +6 29.33 0.25 <b>B+</b> Research +12+11 +8 53.99 0.68	C- Low Dur Inc +5 +3 +1 7.83 0.03
Ivy Funds \$ 28.7 bil 888-923-3355	<b>B+</b> Value +2 +6 +6 48.23 0.60 <b>Morgan Stanley Inst</b>	\$ 78.7 bil 888-877-4626 <b>A+ Comm+ Strat</b> -3 +4 +2 6.50 0.16
A Core Equity +13+10 +7 15.66 0.20 A Glbl Growth +10 +9 +4 30.73 0.35	\$ 194 bil 800-548-7786 C+ GI Fr +11 +9 +7 33.48 0.61	D Dynmc Bd +3 +2 0 9.58 0.01 C- Income +6 +3 +1 10.44 0.04
<b>A</b> LargeCapGro+26+17+11 30.78 0.47 B MidCapGrowt+16+8 +8 31.05 0.32	E Growth +34+19 +2 30.79 0.49 MorganStanleyPathway	<b>B+</b> Infl Rsp MA +2 +3 +2 7.67 0.09 <b>Pioneer Funds A</b>
<b>A-</b> Science&Tec+26+13 +7 46.06 0.42 <b>A+</b> Value Fund +1 +5 +4 21.49 0.28	\$ 4.6 bil 888-673-9950 E Core FI +4 +1 0 6.90n 0.04	\$ 15.0 bil 800-225-6292 <b>A</b> Core Eqty +12+10 +5 20.24 0.25
-J-K-L- Janus Henderson C	A- Lg Cap Eq +15+11 +6 21.56n 0.26 A- SMid Cap Eq +8 +6 +3 15.78n 0.15 Muhlenkmp	<b>A+</b> Disc Gro +18+11 +8 14.98 0.16 <b>A+</b> Disc Val +4 +5 +3 13.51 0.16 <b>A-</b> Eqty Income +4 +6 +3 34.17 0.28
\$26.9 bil 877-335-2687 C Balanced +9 +6 +5 39.91 0.37	\$ 216 mil 800-860-3863 <b>A+</b> Fund +7 +9 +7 58.85n 0.48	A Fund +14+13 +7 33.23 0.27 A+ Mid Cap Val +4 +6 +3 23.07 0.29
<b>Janus Henderson S</b> \$ 26.9 bil 877-335-2687	<b>Munder Funds</b> \$ 1.9 bil 800-539-3863	<b>Pioneer Funds Y</b> \$ 7.1 bil 800-225-6292
A- Enterprise +11 +7 +7 126.30 1.20 JHF III DispVal	C+ Intl SmCp +12 +9 +1 14.30 0.12  Munder Funds CI A	D- Bond +4 +1 0 8.17 0.04 D+ StratIncome +5 +2 +1 9.27 0.04
\$ 30.5 bil 888-972-8696 <b>A+</b> Ds Val +3 +7 +5 21.52 0.25 <b>A-</b> DV/MC +4 +8 +5 25 78 0.35	\$ 750 mil 800-539-3863 <b>A</b> Multi-Cap +16+12 +5 46.07 0.51	Price Funds \$ 301 bil 800-638-5660
<b>A</b> DVMC +6 +8 +5 25.78 0.25 <b>JHITFunLgCpCorFd</b> \$ 2.5 bil 800-225-5291	Munder Funds Cl Y \$893 mil 800-539-3863 A+ IntegritySC +6 +5 +3 34.56 0.23	B- PriceQMUSSC+12+8 +4 38.98n 0.32 A+ AllCp Opp +17+13+10 60.88n 0.75 C+ Balanced +11 +8 +4 24.55n 0.22
\$ 2.5 bil 800-725-5291 <b>A-</b> HancockFdmn+20+11+6 60.57 0.88 <b>John Hancock</b>	<b>Nationwide Fds R6</b> \$ 1.6 bil 800-848-0920	C BlueChpGro +34+20 +6 138.79n 1.82 D+ Comm/Tech +25+14 +6 115.70n 1.63
\$7.1 bil 888-972-8696 A+ HancockClsc +8 +7 +3 33.70 0.32	<b>A</b> - BNYM DUSC +15+12 +7 12.89 0.16 <b>A</b> MC Mkt ldx +8 +7 +4 15.93 0.15	<b>B+</b> Div Gr +6 +7 +9 67.48n 0.81 <b>A</b> Eq lnc +2 +6 +5 33.24n 0.39
<b>A</b> Cap Ap +37+22 +9 13.06 0.14 <b>A-</b> GISYd +7 +7 +4 10.75 0.13	<b>Nationwide Funds</b> \$ 794 mil 800-848-0920	<b>A-</b> Eqlndex500 +16+12 +8 116.78n 1.43 <b>A</b> Financial -3 +6 +4 30.55n 0.42
C+ IntG	A- S&P 500 +16+12 +8 21.14 0.26 Natixis Funds	B GIbl Stck +18+13 +8 53.84n 0.57 D+ GIbl Tech +44+22 +4 14.43n 0.09
\$11.5 bil 800-225-5291 C HancockBala+10+8 +4 24.69 0.25	\$ 16.8 bil 800-225-5478 D Inv GB +4 +2 +1 9.76 0.05	C+ GrowthStock+32+18 +6 81.03n 1.04 B- Hlth Sci +2 +6 +7 91.44n 1.22
JPMorgan A Class \$ 44.4 bil 800-480-4111 C lov Ral	A- LS Growth +40+22+10 22.75 0.32 A+ Oakmark +17+12 +6 25.25 0.29 A+ US En Opp +25-16 +7 36.26 0.47	C- Intl Disc +9 +6 +1 62.00n 0.47 C Intl Stck +13 +9 +2 18.74n 0.17
C Inv Bal +8 +6 +3 14.71 0.12 D+ Inv Csv Gr +6 +4 +1 11.89 0.07 B- Inv Gr&Inc +10 +7 +4 17.42 0.16	<b>A+</b> US Eq Opp +25+16 +7 36.26 0.47 <b>Neuberger Berman Fds</b> \$ 30.3 bil 800-366-6264	B- MdCp Growth+12+8 +6 99.10n 1.03 A+ MdCp Val +7 +7 +5 30.39n 0.25 A+ New Era -1 +6 +2 40.77n 0.51
<b>B+</b> Inv Growth +12 +9 +5 21.92 0.23 <b>A-</b> Itl Val +10 +9 0 12.79 0.13	<b>A-</b> EIPWS +12 +9 +4 10.95 0.02 C LngSh +7 +4 16.70 0.08	C- <b>NewHorizons</b> +15+10 +7 53.48n 0.90 B- OverseasStc+13+11 +2 12.37n 0.11
A US Value +3 +7 +5 66.42 0.77  JPMorgan I Class	<b>A</b> Intr Val +8 +6 +5 19.27 0.14 <b>A</b> LC Value -1 +3 +9 42.72 0.43	A- Real Estate +4 +3 +1 12.34n 0.06 C+ 2010 +7 +5 +3 14.44n 0.11
\$ 83.9 bil 800-480-4111 D Em Mkt Eq +9 +8 +1 30.41 0.27	D+ Str Inc +6 +4 +1 9.62 0.04 <b>A</b> Sus Eqty +14+11 +7 39.70 0.54	C+ 2015 +7 +5 +3 12.05n 0.09 C+ 2020 +8 +6 +3 18.02n 0.13
<b>A-</b> Equity ldx +16+12 +8 66.59 0.81 <b>A-</b> Equity lnc +0+5+6 22.16 0.25 <b>A-</b> Cro Addr 2 -37.18 111 20 (6.037)	Neuberger Berman Inv   \$7.2 bil 800-877-9700   A Cuardian   +33.13.10.33.44.0.30	B- 2025 +8 +6 +4 15.85n 0.13 B- 2030 +10 +7 +4 23.96n 0.21
A Gro Advtg +27+18+11 29.66 0.37 A- LgCp Gro +23+18+11 55.03 0.72 A- LgCp Val +4 +5 +6 1840 0.21	A Guardian +22+12 +10 23.44n 0.29 Neuberger Berman Tr \$ 5 8 bil 800.877.9700	B 2040 +12 +9 +5 27.32n 0.29 B 2045 +13 +10 +5 19 43 -0 21
<b>A+</b> LgCp Val +4 +5 +6 18.40 0.21 <b>A-</b> MdCp Eq +7 +8 +6 53.89 0.66 <b>R-</b> MdCp Gro +1/4 +9 +8 /3.12 0.52	\$ 5.8 bil 800-877-9700 <b>B+</b> Genesis +10 +6 +6 59.80 0.58 <b>New Covenant Funds</b>	B 2045 +13+10 +5 19.43n 0.21 B 2050 +13+10 +5 16.47n 0.18 B 2055 +13+10 +5 17.16n 0.18
B- MdCp Gro +14 +9 +8 43.12 0.52 B+ SmCp Eqty +4 +4 +4 52.46 0.43 A TA Equity +17+12 +9 38.98 0.44	New Covenant Funds \$1.3 bil 877-835-4531 A- Growth +15+12 +7 56.17n 0.67	B 2055 +13+10 +5 17.16n 0.18 C+ Bal +7 +5 +3 12.60n 0.08 A Sci&Tch +42+19 +7 37.22n 0.41
A US Eq +17+12 +9 19.82 0.26 A+ US LCC + +17+13 +9 17.59 0.20	Nicholas Group \$ 5.0 bil 800-544-6547	D- ShTm Bd +2 +1 +1 4.49n 0.01 B- <b>SmCp Stk</b> +9 +7 +5 55.90n 0.95
A Val Advtg +1 +5 +5 34.96 0.41  JPMorgan L Class	<b>A</b> - Equity Inc +3 +6 +6 19.70n 0.23 <b>A</b> Fund +22+15+10 80.41n 0.95	A- SmCp Val +4 +5 +3 49.86n 0.86 A- DE +12+10 +5 22.59n 0.26
\$7.9 bil 800-480-4111 <b>A</b> MdCp Val +2 +6 +4 35.10 0.45	Northern Funds \$ 33.8 bil 800-595-9111	D+ SpectrumInc +4 +3 +1 11.08n 0.05 D- SumtMuniInt +3 +1 +2 11.24n 0.01
<b>A+</b> US Sm Co +7 +6 +3 16.34 0.12 <b>JPMorgan R Class</b>	E Bond Index +3 +1 0 9.20n 0.05 C- EM Eq Idx +9 +9 -1.0 11.07n 0.11	<b>B+</b> Tot Eq Mk +16+12 +8 47.47n 0.57 D Tx-Fr HY +5 +2 +2 10.77n 0.02
\$ 52.5 bil 800-480-4111 E Core Bond +4 +1 +1 10.25 0.05	C HY Fxd Inc +8 +5 +1 5.76n 0.01 B Intl Eq Idx +14+10 +2 13.74n 0.14	A- US ER +18+13 +9 42.82n 0.52 A- USLgCpCore +11+10 +8 32.36n 0.41
E Core PI Bd +4 +1 +1 7.22 0.04 C- High Yield +6 +4 +1 6.23 0.02 E Mtge Secs +4 +1 +1 10.07 0.05	E Intmdt TxEx +3 +1 +1 9.76n 0.01 A Lg Cp Core +14+12 +7 24.45n 0.30 A Mid Cap Idx +7 +7 +4 19.90n 0.19	<b>A</b> Value +3 +6 +6 39.82n 0.48 <b>Price Funds I</b> \$ 301 bil 800-638-5660
36 Mo YTD 12Wk 5 Yr Net Performance % % After Asset NAV	36 Mo YTD 12Wk 5 Yr Net Performance % % After Asset NAV	36 Mo YTD 12Wk 5 Yr Net Performance % % After Asset NAV

YTD 12Wk 5 Yr Net % % After Asset NAV | Chg | Chg | Tax Rtn | Value | Chg

# EXHIBIT D

Berman Tabacco Announces Pendency of Class Action For All Those Who Purchased or Otherwise Acquired Aegean Marine Petroleum Network, Inc. Securities or Sold Aegean Put Options Between February 27, 2014 through November 5, 2018 Inclusive

NEWS PROVIDED BY **Berman Tabacco** → 19 Jun, 2023, 10:00 ET

SAN FRANCISCO, June 19, 2023 / PR Newswire--

## UNITED STATES DISTRICT COURT SOUTHERN DISTRICT OF NEW YORK

IN RE AEGEAN MARINE	) Case No. 1:18-cv-04993 (NRB)
PETROLEUM NETWORK, INC.	)
SECURITIES LITIGATION	) Hon. Naomi Reice Buchwald

SUMMARY Notice of (I) Pendency of Class Action and Proposed Individual Defendants

Settlements; and (II) Final Approval Hearing For The Individual Defendants Settlements, The

Individual Defendants Plan of Allocation and Motion For Approval of Attorneys' Fees and

Reimbursement of Litigation Expenses

TO: All Persons who purchased or otherwise acquired Aegean Marine Petroleum Network, Inc. ("Aegean") securities or sold Aegean put options between February 27, 2014 through November 5, 2018, inclusive (the "Settlement Class Period"), and were allegedly damaged thereby.

The securities subject to these proposed settlements consist of: (a) the common stock of Aegean (Tickers: ANWWQ; CINS: Y0017S102) (pre-bankruptcy Aegean traded under the ticker "ANW"); (b) Aegean 4.00% Convertible Unsecured Senior Notes due 11/1/2018, issued 10/23/2013 (CUSIP: Y0020QAA9; ISIN: USY0020QAA95); (c) Aegean 4.25% Convertible Unsecured Senior Notes due 12/15/2021, issued 12/19/2016 (CUSIP: 00773VAA4 (CUSIP changed to 00773VAB2 on 2/12/2018); ISIN: US00773VAB27); (d) Aegean call options; and (e) Aegean put options (collectively, "Aegean Securities").

Please read this notice carefully. Your rights may be affected by two proposed partial settlements, which are the third and fourth proposed partial settlements of a class action lawsuit pending in this court. Please do not contact the court, any defendant, or their counsel, regarding this notice. All questions about this notice, these proposed settlements, or your eligibility to participate in these proposed settlements should be directed to lead counsel or the claims administrator, whose contact information is provided below. Additional information about the proposed settlements is available on the Settlement Website: www.aegeansecuritieslitigation.com.

YOU ARE HEREBY NOTIFIED, that Utah Retirement Systems ("Lead Plaintiff"), on behalf of itself and the proposed Settlement Class, has reached two additional proposed settlements (one with Spyros Gianniotis ("Gianniotis") for \$11 million in cash and one with Dimitris Melissanidis ("Melissanidis") for \$949,999 in cash) that will, among other things, resolve all claims against the two remaining Defendants in this Action, Gianniotis and Melissanidis (the "Individual Defendants") (the "Individual Defendants Settlements") if approved. The Court previously approved settlements with the outside auditors (the "Auditor Settlements").

YOU ARE ALSO NOTIFIED, that pursuant to Rule 23 of the Federal Rules of Civil Procedure and an Order of the Court, a Settlement Class in the above-captioned litigation (the "Action") has been preliminarily certified for the purposes of these proposed Individual Defendants Settlements only.

A hearing (the Final Approval Hearing) will be held before the Honorable Naomi Reice Buchwald, United States District Judge for the United States District Court for the Southern District of New York, either telephonically, via video conference, or at 500 Pearl Street, Courtroom 21-A, New York, New York, 10007 on Thursday, October 19, 2023 at 11:00 AM to, among other things, determine whether: (i) the proposed Individual Defendants Settlements should be approved by the Court as fair, reasonable and adequate; (ii) the Action should be dismissed with prejudice against Gianniotis, final judgment should be entered as to the claims against Gianniotis and the Gianniotis Released Claims should be released as against the Gianniotis Released Parties, as set forth in the Stipulation and Agreement of Settlement with Spyros Gianniotis ("Gianniotis Stipulation"); (iii) the Action should be dismissed with prejudice against Melissanidis, final judgment should be entered as to the claims against Melissanidis and the Melissanidis Released Claims should be released as against the Melissanidis Released Parties, as set forth in the Stipulation and Agreement of Settlement with Dimitris Melissanidis ("Melissanidis Stipulation"); (iv) the proposed Individual Defendants Plan of Allocation for distribution of the Individual Defendants Settlement Funds and any interest earned thereon, less Taxes, Notice and Administration Costs, Litigation Expenses awarded by the Court, attorneys' fees awarded by the Court, and any other costs, expenses, or amounts as may be approved by the Court (the "Net Settlement Fund") should be approved as fair and reasonable; and (v) whether Lead Counsel's application for attorneys' fees and reimbursement of Litigation Expenses should be approved by the Court. The Court may change the date of the Final Approval Hearing without providing another notice. You do NOT need to attend the Final Approval Hearing in order to receive a distribution from the Gianniotis Net Settlement Fund and/or the Melissanidis Net Settlement Fund.

You may be a member of the Settlement Class if you purchased or acquired Aegean Securities between February 27, 2014 And November 5, 2018. If you are a Settlement Class Member, you may seek to participate to share in the Individual Defendants Settlements by submitting a Proof of Claim and Release Form ("Claim Form") to the Claims Administrator at the address below. If you are a Settlement Class Member but do not file a Claim Form, you will still be bound by the releases set forth in the Gianniotis Stipulation if the Court enters an order approving the Gianniotis Settlement and/or the releases set forth in the Melissanidis Stipulation if the Court enters an order approving the Melissanidis Settlement.

ANY CLAIM FORMS ALREADY SUBMITTED IN THE AUDITOR SETTLEMENTS WILL BE
AUTOMATICALLY CONSIDERED FOR RECOVERY IN THE INDIVIDUAL DEFENDANTS
SETTLEMENTS AND DO NOT NEED TO BE RE-SUBMITTED. The full notice, entitled the Notice of
(I) Pendency of Class Action and Proposed Individual Defendants Settlements; and (II) Final
Approval Hearing For The Individual Defendants Settlements, The Individual Defendants Plan
of Allocation and Motion For Approval of Attorneys' Fees and Reimbursement of Litigation
Expenses ("Detailed Notice"), and the Claim Form, are each available on the Settlement
Website <a href="https://www.aegeansecuritieslitigation.com">www.aegeansecuritieslitigation.com</a>, or by contacting the Claims Administrator:

In re Aegean Marine Petroleum Network, Inc. Securities Litigation
Claims Administrator
c/o A.B. Data, Ltd.
P.O. Box 173088
Milwaukee, WI 53217
1-877-888-9760 (Toll-Free)

Please refer to the Settlement Website for more detailed information and to review the documents pertaining to the proposed Individual Defendants Settlements. Inquiries may also be made to Lead Counsel:

Nicole Lavallee
BERMAN TABACCO
425 California Street, Ste. 2300
San Francisco, CA 94104
Telephone: (415) 433-3200
law@bermantabacco.com

If you are a potential Settlement Class Member, but wish to exclude yourself from the Settlement Class, you must submit a written request for exclusion in accordance with the instructions set forth in the Detailed Notice, which can also be found on the Settlement Website, *postmarked no later than September 28, 2023*. If you are a potential Settlement Class Member and do not timely exclude yourself from the Settlement Class,

you will be bound by any judgments of orders entered by the Court in the Action. Note: The deadline to submit a request for exclusion to the Auditor Settlements has passed. Any new requests for exclusion will only apply to the Individual Defendants Settlements.

Any objections to the proposed Individual Defendants Settlements, the Individual Defendants Plan of Allocation, and/or Lead Counsel's application for attorneys' fees and reimbursement of Litigation Expenses must be submitted to the

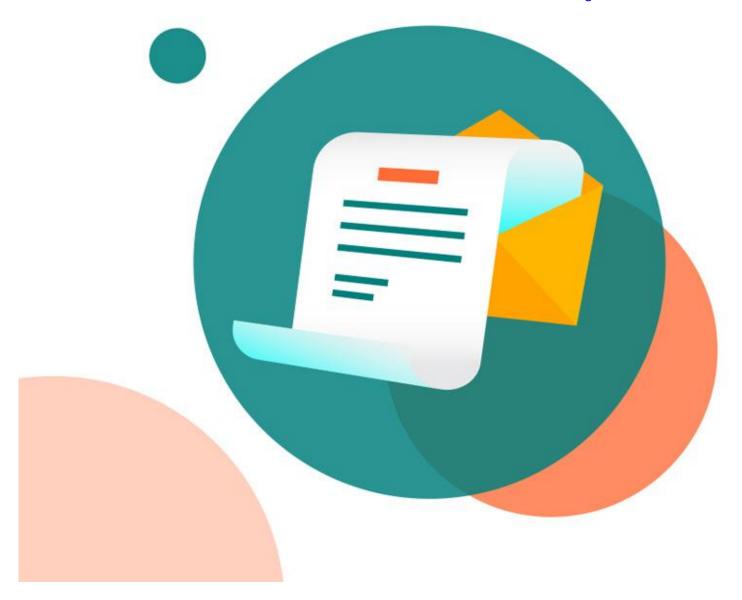
Court in accordance with the instructions set forth in the Detailed Notice, *received no later than September 28, 2023* and filed with the Court *no later than September 28, 2023*. Note: The deadline to object to the Auditor Settlements has passed. Any new objections will only apply to Gianniotis's or Melissanidis's Settlements.

DATED: June 1, 2023 THE HONORABLE NAOMI REICE BUCHWALD

District Judge, United States District Court for the

Southern District of New York

**SOURCE Berman Tabacco** 



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# **EXHIBIT E**

## Aegean Marine Securities Litigation Exclusion Report

	Name	Exclusion ID #	Postmark	Timely?	Address	Phone Number	Contact information?	Name of Action?	Statement that Class Member?	Transactions Statement?	Signed?	Valid
1.	Joseph Lomonaco III	192907382	8/30/2022	Y	ı		Υ	Y	Y	Y	Υ	Υ